

# LOS ANGELES UNIFIED SCHOOL DISTRICT FACILITIES CONTRACTS

# MECHANICAL, ELECTRICAL, AND PLUMBING (MEP) SUBCONTRACTOR PREQUALIFICATION QUESTIONNAIRE

## **OVERVIEW**

By submitting this Prequalification Questionnaire to the Los Angeles Unified School District ("District" and/or "LAUSD"), a contractor ("Applicant," and/or "YOU") is requesting to be prequalified for a period of one (1) calendar year to perform work as a Mechanical, Electrical, or Plumbing ("MEP") subcontractor on a District formal construction project. Applicants that are prequalified under the Subcontractor Prequalification Questionnaire will also be prequalified to perform work as a prime contractor on District informal construction projects. Non-MEP subcontractors do not need to apply for Subcontractor Prequalification to perform on District construction contracts. NOTE: Prequalification under the Subcontractor Prequalification Questionnaire does not prequalify Applicant to bid on formal and/or job order contracts as a prime contractor. If Applicant wishes to prequalify and bid as a prime contractor on formal and/or job order contracts, it must submit and obtain approval under the District's Prime Contractor Prequalification Questionnaire.

A "subcontractor" as used in this Subcontractor Prequalification Questionnaire is a Mechanical, Electrical, or Plumbing ("MEP") contractor holding an A, C-4, C-7, C-10, C-16, C-20, C-34, C-36, C-38, C-42, C-43, or C-46 license classification who contracts directly with a prime contractor to perform any MEP component work on a District formal construction project.

A "District formal construction project" as used in this Subcontractor Prequalification Questionnaire includes, but is not limited to, a project with a District contract governed by Public Contract Code section 20111(a)(3) or (b), Education Code sections 17250.10 *et seq.*, 17406 or 17407, or Public Contract Code section 20919 *et seq.* 

A "District informal construction project" as used in this Subcontractor Prequalification Questionnaire includes, but is not limited to, a project with a District contract governed by Public Contract Code section 20111(a); and adjusted annually for inflation. The California Department of Education sets the current bid threshold of informal contracts.

Applicant must answer <u>ALL</u> questions, fill in <u>ALL</u> blanks and provide <u>ALL</u> required references. If a particular question does not apply, then the response must indicate that it is not applicable ("N/A"). Applicant must provide current, accurate and complete information. Incomplete or inaccurate documentation may result in the rejection or the denial of the Subcontractor Prequalification Questionnaire. The Subcontractor Prequalification Questionnaire, along with any supporting documentation and Performance and Safety evaluation forms, are <u>not</u> public records and are <u>not</u> open to public inspection.

Applicant's submission of the Subcontractor Prequalification Questionnaire specifically authorizes the District to investigate any and all statements made by Applicant, and the District is entitled to request and obtain from Applicant and/or any third parties additional documentation or information which the District believes may be relevant, and to use and rely on such documentation and information in its prequalification determination. If any false information or data is submitted in the Subcontractor Prequalification Questionnaire, the District may deny Applicant's subcontractor prequalification or revoke previously granted approval and/or, if an award has previously been made, terminate any construction contract. Any material or intentional omission or false statement may result in Applicant's disqualification. If any information provided by Applicant becomes inaccurate, Applicant must immediately notify the District and provide updated accurate information in writing, under penalty of perjury. Failure to do so may result in the disqualification or revocation of Applicant's prequalification. The District may adjust, limit, suspend or rescind Applicant's subcontractor prequalification based on subsequently learned information. Applicant's subcontractor prequalification will not preclude the District from post-bid consideration and determination of whether a bidder has the quality, fitness, capacity and experience to satisfactorily perform the proposed work, and has demonstrated the requisite trustworthiness. The District reserves the right to impose additional requirements and contractor qualifications for specific construction contracts that exceed the prequalification requirements.

APPLICANT MUST VERIFY ACCURACY OF ALL ANSWERS UNDER OATH BY AFFIXING ON <u>PAGE 21</u> (<u>CERTIFICATION</u>) THE SIGNATURE OF A PERSON AUTHORIZED TO SIGN ON BEHALF OF APPLICANT.

## SUBCONTRACTOR PREQUALIFICATION QUESTIONNAIRE SUBMITTAL

Subcontractor Prequalification Questionnaires are accepted on an ongoing basis. Prequalification will be valid for one (1) calendar year beginning on the day following the District's written notice that Applicant has received prequalification approval, provided that during such time Applicant remains in good standing with all District prequalification and contract requirements. If Applicant fails to be approved for prequalification, a waiting period may be imposed before Applicant may reapply based upon the reasons for the disqualification.

## **MANDATORY SAFETY PREQUALIFICATION**

Safety Prequalification is <u>required</u> for every Applicant, regardless of prequalification level, that submits a bid and performs work on any District construction contract (regardless of type, size or scope). No additional prequalification level (I, II, or III) is required if Applicant intends to bid solely on Informal (A & B Letter) contracts.

## SUBCONTRACTOR PREQUALIFICATION REQUIREMENTS:

Applicant must satisfy **ALL** of the following requirements:

- 1. Possess an appropriate, current, and active California State Contractor's license.
- 2. Be currently registered as a public works contractor with the California Department of Industrial Relations ("DIR").
- **3.** Obtain a 10-digit LAUSD SAP vendor number by visiting the Supplier Self-Registration Portal: <a href="https://www.laschools.org/new-site/prequalification/">https://www.laschools.org/new-site/prequalification/</a>. The SAP Vendor Number must be provided at the time of submittal.
- **4.** Fully complete and submit the most current version of the District's Prime Contractor Prequalification Questionnaire, and submit all of the following required documents:
  - a. <u>Authorized Signers</u>: Attach a <u>notarized</u> list of signatures for those authorized to sign on behalf of the company. All signatures must be notarized.
  - b. Notarized letter of bondability: Attach a notarized statement from an admitted surety insurer (approved by the California Department of Insurance) with at least an A- VII Rating according to the current report published by A.M. Best Company which states Applicant's current available single and aggregate bonding capacity. The letter must be written by the surety company, accompanied by a Power of Attorney from the surety company, and addressed to the District. NOTE: A request to increase Applicant's bid rating must be submitted and approved by the District at least seven (7) calendar days prior to a bid opening and MUST NOT BE INCLUDED in a sealed bid envelope.
  - c. <u>Financial Statement</u>: Attach a copy of a <u>reviewed</u> or <u>audited</u> financial statement <u>dated</u> within the <u>last eighteen</u> (18) months, with accompanying notes and supplemental information. A financial statement that is not reviewed or audited is not acceptable. A letter verifying availability of a line of credit may also be attached; however, it will be considered as supplemental information only, and is not a substitute for the required financial statement.

    <u>NOTE</u>: A contractor who has classified as a small business pursuant to Government Code section 14837(d)(1) is exempted from this requirement. If applicable, a current statement of income and balance sheet must be attached in lieu of a financial statement.
  - d. <u>General liability insurance coverage</u>: Attach a copy of a current certificate of General Liability Insurance coverage of at least \$1,000,000 per occurrence / \$2,000,000 aggregate with a California-admitted insurance company and Workers Compensation Coverage to cover all activities of Applicant. <u>The certificate of General Liability Insurance must name "Los Angeles Unified School District" as Additional Insured.</u>
  - e. <u>Bank Letter</u>: Provide a signed letter(s) from Applicant's bank(s) on bank letterhead stationery, <u>dated within the last six (6) months</u>, confirming Applicant's relationship, credit, and banking history. The letter should list the name of Applicant's financial institution(s), the type of account(s) Applicant has, name of the branch manager, and his or her contact information.
  - f. Partnership Agreement (only if Applicant is a partnership): Attach a copy of the agreement creating Applicant's partnership and specifying that all partners agree to be fully liable for the performance under a construction contract.

- 5. Meet all of the requirements in the District's Prime Contractor Prequalification Questionnaire.
- **6. Contractor Performance Requirements**: Applicant's performance history will be measured according to two separate components: (1) Field Component, and (2) Compliance Component. Applicant must pass both Components, the sum of which becomes the Contractor Performance Score ("CPS"):
  - (1) **Field Component:** The Field Component is based on Applicant's verified <u>Contractor Performance Evaluations</u> ("CPE's"). CPE's are used to report the safety and performance of Applicant on <u>completed</u> LAUSD and non-LAUSD construction contracts. Applicant must receive an average score of at least 20 out of 50 points on the CPE's based on the last three (3) construction contracts completed within the last five (5) years.
    - a. LAUSD projects: The District's Owner's Authorized Representative ("OAR") coordinates with the Inspector of Record ("IOR") and End User to complete the CPE. LAUSD contract experience will supersede any non-LAUSD contracts submitted.
    - b. Non-LAUSD projects: If Applicant has not completed at least three (3) LAUSD construction contracts within the past five (5) years, Applicant must submit references for at least three (3) completed public or private construction contracts. It is Applicant's responsibility to ensure references are willing and available to provide a CPE to the District.

<u>NOTE</u>: If the District determines that there are substantial safety and/or performance issues with a contract, a preliminary Performance Evaluation of Applicant may be conducted during the course of that project, prior to its completion, and from that evaluation, if necessary, appropriate action will be taken.

- (2) <u>Compliance Component:</u> If Applicant has completed LAUSD construction contracts as a prime contractor in the past five (5) years, its compliance with specific criteria will be measured and scored. To satisfy this requirement, Applicant must receive a minimum score of at least 35 out of 50 points. If Applicant has not completed any LAUSD construction contracts in the past five (5), it will receive a score of 50 points. The applicable compliance criteria are as follows:
  - a. Labor Compliance
  - b. Project Stabilization Agreement ("PSA")
  - c. Bid Issues/Protests
    - i. Requests to be Released from Bid
    - ii. Bid Protests on LAUSD bids that were deemed by LAUSD to be without merit
    - iii. Number of subcontractor substitution requests (for either your firm or a subcontractor) that were denied or for which a penalty was assessed
  - d. Assessments
    - i. Liquidated Damages assessed
    - ii. Stop notices
    - iii. Permanent Withholds due to failure to complete punch list items or for stop notices that were not released

## SUBMITTAL OF PREQUALIFICATION QUESTIONNAIRE

Applicant's questionnaire and supplemental documents, as well as any questions regarding the District's prequalification process, must be directed via email to: **prequalification@laschools.org**.

#### RENEWAL OF PREQUALIFICATION

In order to avoid a lapse in prequalification, a new completed Prequalification Questionnaire must be submitted by Applicant and approved by the District prior to expiration of Applicant's existing Prequalification term. It is the responsibility of the Applicant to ensure submittal is received in a timely manner to avoid lagged processing times.

## PROCEDURE FOR ADMINISTRATIVE APPEAL OF PREQUALIFICATION RESULTS

There is no administrative appeal permitted from the District's rejection or denial of a Contractor Prequalification Questionnaire due to its incomplete or untimely submission. A list of prequalified contractors will be made available by the District to all bidders at least five (5) business days prior to the date fixed for the public opening of sealed bids. The closing time for bids will not be changed in order to accommodate supplementation of incomplete or untimely submissions.

Prior to disqualifying Applicant, the District will provide a written notice to Applicant stating the conditions that may lead to disqualification. Request for a Prequalification Administrative Review ("PAR") shall be submitted in writing by Applicant within <u>fourteen (14) calendar days</u> from date of issuance of the District's written notification. A PAR notice will thereafter be sent to Applicant by the District, providing Applicant with the deadline for submittal of all evidence to be considered at the PAR, as well as the date, time and location of the PAR. Applicant's failure to respond to any PAR notices, or attend the PAR, will result in disqualification. The District's written determination following the PAR shall be considered final

## **FINGERPRINTING**

If pending a District contract award, Contractor, its employees, agents, subcontractors, and subcontractor employees who go to school sites when students are present will be required to comply with the requirements of the California Education Code Sections 45125.1 and 45125.2 at no cost to District. In accordance with Section 45125.1 (d) all personnel going to the school site(s) must submit his or her fingerprints to the California Department of Justice (DOJ) in a manner authorized by the DOJ to determine whether the employee has been arrested or convicted of any crime. All personnel who may come in contact with students must be cleared by DOJ (Section 42125.1(f)). Any person who has been arrested or convicted of any serious or violent felony, as defined by California Penal Code Sections 667.5 and 1192.7 will not be allowed on District property. Contractor is responsible for the administration and all costs relating to the fingerprinting and screening by the DOJ of all candidates. Confirmation of the DOJ clearance or confirmation that the fingerprints have been submitted to DOJ must be submitted to the District prior to employees visiting the school site. Contractor is responsible for ensuring all employees are instructed as to appropriate conduct where children are present. For more information and to download forms, please visit the DOJ: <a href="https://oag.ca.gov/fingerprints">https://oag.ca.gov/fingerprints</a>.

## LOS ANGELES UNIFIED SCHOOL DISTRICT FACILITIES CONTRACTS

## MECHANICAL, ELECTRICAL, AND PLUMBING (MEP) SUBCONTRACTOR PREQUALIFICATION QUESTIONNAIRE

## PART I: APPLICANT CONTACT INFORMATION

Please fill out Applicant's current information below. Provide the name of Applicant's firm as it appears on the Contractors State License Board (CSLB). Provide Applicant's physical street address, as well as the contact person for this Subcontractor Prequalification Questionnaire. NOTE: Unless stated otherwise, all references to "Applicant" in this Questionnaire refer to the name of the firm, not to any individuals.

| Applicant Name (Name of Firm):   |  |  |                         |
|--|--|--|-------------------------|
| Doing Business As:(Attach Fi   |  | Federal ID #:  |                         |
| (Attach Fi   | ctitious Name Statement)                                       |  |                         |
| Applicant's California contractor li                                       | cense number and expiration                                    | n date:  |                         |
| DIR public works contractor regist SAP Vendor Number:                      | •  |  |                         |
| Street Address:  |  |  |                         |
| (P   | O BOX IS NOT ACCEPTA   | ABLE)  |                         |
| City:  | State:   | Zip Code:  |                         |
| Applicant's Contact Person:  |  |  |                         |
| Business Phone:  | Fax:   | Email:   |                         |
|  | nership (attach a copy of the agree to be fully liable for the | articles of Incorporation or the Min<br>partnership agreement creating the<br>e performance of a construction co | partnership and         |
| Is Applicant certified by a public w                                       | vorks agency as (Please chec                                   | k the appropriate box/es and attach  | proof):                 |
|  | rise or Disabled Veter   |  | <del></del> -           |
|  |  | •  |                         |
| What percentage of Applicant's wo  |  |  | Hour trained?           |
| What percentage of Applicant's we What percentage of Applicant's we        | -  | •  |                         |
|  | · ·  | • • •  |                         |
| During the last three (3) years, who on-the-job training hours?            |  | es used on Applicant's jobsites co.  | mpleted 3,500 or more   |
| During the last three (3) years, what a California-approved apprenticesh   | nt percentage of the journey-l                                 |  | jobsites graduated from |
| List all classifications/certifications experience and examination require |  |  | B records who meets the |
| CSLB<br>Classification(s)/Certification(s)                                 | Name(s) of all Q   | ualifying Individual(s)  | Expiration Date         |
|  |  |  |                         |
|  |  |  |                         |
|  |  |  |                         |

## PART II: MANDATORY REQUIREMENTS FOR PREQUALIFICATION

| Ap | Applicant <u>may be immediately disqualified</u> if any answer to Questions 1-9 is " <u>Yes</u> " (except as permitted).   |     |    |  |  |
|----|--|-----|----|--|--|
| 1. | Has Applicant's contractor license or other professional license been revoked at any time in the last five (5) years?  | Yes | No |  |  |
| 2. | At any time in the past five (5) years, has Applicant been found by an awarding body to not be a responsible bidder?   | Yes | No |  |  |
| 3. | Has Applicant submitted three (3) or more bids that were determined to be "Non-responsive" on any public works contracts within the past three (3) years?  | Yes | No |  |  |
| 4. | Within the last five (5) years, has Applicant, its owners, officers, partners, or any managing employees, been terminated from any public or private construction project by anyone (including, but not limited to, an owner, prime contractor or subcontractor)?  NOTE: "Terminated" includes termination based on any misconduct, such as failure to   | Yes | No |  |  |
|    | comply with contractual, statutory or other legal obligations, attributed to Applicant (or its owners, officers, partners, or any managing employees), its subcontractors of all tiers and/or suppliers. This applies to all public or private construction projects in which Applicant (or its owners, officers, partners, or any managing employees) was performing as a prime contractor or subcontractor of any tier. This also includes, but is not limited to, any termination notice by an owner's representative regardless whether a board or other official of the owner formally approved/ratified the termination.  NOTE: If all terminations were either for (a) convenience, or (b) subsequently withdrawn or reversed, check "No" and explain each such instance on a separate signed sheet of paper.   |     |    |  |  |
| 5. | Has a surety firm completed a contract on Applicant's behalf, or paid for completion because Applicant was terminated by a public or private project owner within the last five (5) years?  NOTE: "Terminated" includes termination based on any misconduct, such as failure to comply with contractual, statutory or other legal obligations, attributed to Applicant (or its owners, officers, partners, or any managing employees), its subcontractors of all tiers and/or suppliers. This applies to all public or private construction projects in which Applicant (or its owners, officers, partners, or any managing employees) was performing as a prime contractor or subcontractor of any tier. This also includes, but is not limited to, any termination notice by an owner's representative regardless whether a board or other official of the owner formally approved/ratified the termination. | Yes | No |  |  |
|    | <u>NOTE</u> : If all terminations were either for (a) convenience, or (b) subsequently withdrawn or reversed, check "No" and explain each such instance on a separate signed sheet of paper.   |     |    |  |  |
| 6. | At the time of submitting this Questionnaire, is Applicant, any of its officers, supervisors, managers, or any affiliated firm or individual ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code 1777.7, or any other federal, state, county, municipal or other local law providing for the debarment of contractors from public works? If the answer is "Yes," state the beginning and ending dates of the period of debarment:   | Yes | No |  |  |
|    | <u>NOTE</u> : If the answer is "Yes," and if debarment would be the sole reason for disqualification, any prequalification issued will exclude the debarment period.   |     |    |  |  |

| 7. | Has Applicant, or any of its owners, officers, partners or managers ever been found liable in a civil suit or convicted of a crime involving any federal, state, or local law related to construction, the bidding, awarding, or performance of a government contract, antitrust statutes, racketeering statutes, safety and health regulations, environmental laws, laws banning workplace discrimination, laws governing wages, hours or labor standards, or laws involving fraud, theft, or any other act of dishonesty? | Yes | No |
|----|---|-----|----|
| 8. | Is Applicant currently the debtor in a bankruptcy case? If the answer is "Yes," attach a copy of the bankruptcy petition showing the case number and date on which the petition was filed.  | Yes | No |
| 9. | Does Applicant, any of its officers, supervisors, managers, or any affiliated firm or individual currently have any delinquent liability to an employee, the state, or any awarding body for any assessment of back wages or related damages, interest, fines or penalties pursuant to any final judgment, order, or determination by any court or any federal, state, or local administrative agency, including a confirmed arbitration award?   | Yes | No |

## PART III: APPLICANT DISCLOSURES

| 1. How many years has Applicant been in business in California as a contractor under its prese business name and license number?   | entYears                     |
|--|------------------------------|
| 2. Has there been any change in ownership of Applicant firm at any time during the last five (years? If "Yes," explain on a separate signed page. <b>NOTE:</b> A corporation whose shares a publicly traded is not required to answer this question.  or check: N/A \subseteq because Applicant is a publicly traded corporation.  |                              |
| 3. Has Applicant changed names or license number in the past five (5) years? If yes, explain a separate signed page, including the reason for the change.  | n on Yes No                  |
| 4. Is Applicant a subsidiary, parent, holding company or affiliate of another construction fit if yes, explain on a separate signed page. Include information about other firms if one owns fifty percent (50%) or more of another, or if an owner, partner, or officer of Application in another firm.  | firm Yes No                  |
| 5. Have any of Applicant's corporate officers, partners or owners operated or been connected any other construction firms under any other name in the last five (5) years? If yes, explain a separate sheet of paper. Include the person's name, construction firm name, and date participation.   | n on                         |
| 6. If Applicant was required to pay a premium of more than one percent (1%) for a performa and payment bond on any project(s) on which Applicant worked at any time during the three (3) years, state the largest percentage that Applicant was required to pay. On a sepa sheet of paper, Applicant may provide an explanation for a percentage rate higher than percent (1%), if it wishes to do so. | past% urate Or paid 1 00% or |
| 7. How often does Applicant require documented safety meetings to be held for construction employees and field supervisors during the course of a project?   | week, or times per month     |
| 8. Does Applicant regularly utilize a third-party consultant to review labor compliance docum of subcontractors on a public works project?   | ents Yes No                  |

| 9. Prov<br>year |                   | s gross revenues, includ                          | ling revenues deriving           | g from constructio | n work, with  | in the last three (3)                          |
|-----------------|-------------------|---|----------------------------------|--------------------|---------------|--|
|                 | Year              | Gross Revenue<br>(Dollar Amount)                  | Gross Revenue<br>Construction (D |                    |               | of Construction<br>ontracts                    |
|                 |                   |   |                                  |                    |               |  |
|                 |                   |   |                                  |                    |               |  |
|                 | ding capacity:    | 's bonding company/ou                             | eatry.                           |                    |               |  |
| App             | licant's surety a | 's bonding company/suragent:                      | ety:                             |                    |               |  |
|                 | Name:<br>Address: |   |                                  |                    |               |  |
| r               | Telephone num     | ıber:   |                                  |                    |               |  |
|                 |                   | es (company name and a (i.e., time periods) durin |                                  |                    | plicant durin | g the last five (5) years                      |
|                 | Surety            | 7 Name  | Addres                           | s                  |               | s (i.e., time periods)<br>bonds written        |
|                 |                   |   |                                  |                    |               |  |
|                 |                   |   |                                  |                    |               |  |
|                 |                   | nt owner, officer, partnif not applicable):       | er, or managing emp              | ployee of Applica  | nt previously | employed by LAUSI                              |
|                 | Na                | ime (   | Current Title                    | Title(s) with      | LAUSD         | Start/End Dates of<br>Employment with<br>LAUSD |
|                 |                   |   |                                  |                    |               | 212002   |
|                 |                   |   |                                  |                    |               |  |
|                 | ities, staff, equ | g the past five (5) years ipment, telecommunica   |                                  |                    |               |  |
|                 | Construction 1    | Firm De   | scription of Sharing             | Agreement          | Loca          | ation of Facilities                            |
|                 |                   |   |                                  |                    |               |  |
|                 |                   |   |                                  |                    |               |  |
|                 |                   |   |                                  |                    |               |  |

| 14. | Apprentice           | Provide the name, address and telephone number of the apprenticeship program (approved by the California Apprenticeship Council) from which Applicant <i>intends</i> to request the dispatch of apprentices to employ for use on an public works project awarded by the District:  |   |                          |                              |  |
|-----|----------------------|--|---|--------------------------|------------------------------|--|
|     | Name of              | Apprenticeship Program   | Addro   | ess                      | Phone                        |  |
|     |                      |  |   |                          |                              |  |
|     |                      |  |   |                          |                              |  |
|     |                      |  |   |                          |                              |  |
| 15. |                      | ne name, address and telepho<br>eship Council) from which Appl   |   |                          |                              |  |
|     | Name of              | Apprenticeship Program   | Addro   | ess                      | Phone                        |  |
|     |                      |  |   |                          |                              |  |
|     |                      |  |   |                          |                              |  |
|     |                      |  |   |                          |                              |  |
|     | Califor (c) For each | he year(s) in which each such a mia Apprenticeship Council apprenticeship Council apprenticeships the number of apprenticeships while employed by Apprenticeships while employed | oroval(s);<br>entices employed by Applic  | cant and the number of   |                              |  |
|     |                      |  |   |                          |                              |  |
|     |                      |  |   |                          |                              |  |
|     |                      |  |   |                          |                              |  |
|     |                      |  |   |                          |                              |  |
| 17. | company ty           | rmation: Applicant should on pe (e.g., Corporation, Partnersholicant is a CORPORATION (  Date incorporated:  | ip, Sole Proprietorship or J<br>including LLC):                                     |                          | 17 that is applicable to its |  |
|     | 17b.                 | Under the laws of what state:  |   |                          |                              |  |
|     | 17c.                 | Provide all the following inf<br>(including, but not limited to<br>secretary, treasurer, director),<br>[Please attach additional she   | formation for each person of president, vice president or (b) the owner of at least | t, chief executive offic | er, chief financial officer, |  |

| Person's Name | Position | Years with Corporation | % Ownership |
|---------------|----------|------------------------|-------------|
|               |          |                        |             |
|               |          |                        |             |
|               |          |                        |             |
|               |          |                        |             |

17d. Identify every construction firm in which any person listed in subsection 17c., above, has had any interest at any time during the last five (5) years [Please attach additional sheets if necessary]:

| Person's Name | Construction Firm | Title/Interest in Firm | Dates of Participation with Firm |
|---------------|-------------------|------------------------|----------------------------------|
|               |                   |                        |                                  |
|               |                   |                        |                                  |
|               |                   |                        |                                  |
|               |                   |                        |                                  |

<u>NOTE</u>: For this question 17d., "any interest" means an interest in the construction firm, whether as an owner, partner, officer, manager, employee, agent, consultant or representative. "Any interest" includes, but is not limited to, all instances in which the person received payments, whether cash or any other form of compensation, from the construction firm. "Any interest" does not include shares held in a publicly traded corporation if the shares were not received as compensation.

| 17a. Date of formation: |  |
|-------------------------|--|
|-------------------------|--|

17c. Provide all the following information for each partner of Applicant firm [Please attach additional sheets if necessary]:

| Person's Name | Position | Years with<br>Partnership | % Ownership |
|---------------|----------|---------------------------|-------------|
|               |          |                           |             |
|               |          |                           |             |
|               |          |                           |             |
|               |          |                           |             |

17d. Identify every construction firm in which any person listed in subsection 17c., above, has had any interest at any time during the last five (5) years [Please attach additional sheets if necessary]:

| Person's Name | Construction Firm | Title/Interest in Firm | Dates of Participation<br>with Firm |
|---------------|-------------------|------------------------|-------------------------------------|
|               |                   |                        |                                     |
|               |                   |                        |                                     |
|               |                   |                        |                                     |
|               |                   |                        |                                     |

<u>NOTE</u>: For this question 17d., "any interest" means an interest in the construction firm, whether as an owner, partner, officer, manager, employee, agent, consultant or representative. "Any interest" includes, but is not limited to, all instances in which the person received payments, whether cash or any other form of compensation, from the construction firm. "Any interest" does not include shares held in a publicly traded corporation if the shares were not received as compensation.

<sup>17</sup>b. Under the laws of what state:

| 17e.                    |  | in any construction contract the  | ociation, list all the partners or association hat Applicant is awarded [Please attach   |
|-------------------------|--|---|--|
|                         |  |   |  |
| Te A                    | L. COLE PROPRIETO  | DCIVID  |  |
| 17a.                    | plicant is a SOLE PROPRIETO  Date of commencement of busi              |   |  |
| 17a.                    |  |   | which Applicant's company owner has had  |
|                         | terest at any time during the last f                                   | •   | 11 1   |
|                         | <b>Construction Firm</b>   | Title/Interest in Firm  | Dates of Participation with Firm   |
|                         |  |   |  |
|                         |  |   |  |
|                         |  |   |  |
| owner<br>but is<br>comp | r, partner, officer, manager, em<br>not limited to, all instances in w | ployee, agent, consultant or re<br>hich the person received payn<br>firm. "Any interest" does not | n the construction firm, whether as an epresentative. "Any interest" includes, nents, whether cash or any other form of include shares held in a publicly traded |
| If Apj                  | plicant Intends to Make Bids as  | Part of a JOINT VENTURE:  |  |
|                         | E: If two or more business entiture must separately be prequalif       |   | oint Venture, each entity within the Joint   |
| 17a.                    | Date of commencement of joint  | venture:  | <u> </u>   |
| 17b.                    |  |   | a member of the Applicant joint venture attach additional sheets in necessary]:  |
|                         | Name of Fin  | m   | % Ownership of Joint Venture   |
|                         |  |   |  |
| [                       |  | II - 41   |  |
| LON a                   | separate sneet of paper, provid  | e an otner pertinent informatio   | on required in the section above for   |

each Corporation, LLC, rarthership, or Sole-rroprietorship that is part of the solit venture]

## PART IV: SCORABLE QUESTIONS

Applicant must answer  $\underline{ALL}$  of the following questions truthfully and completely. This includes providing all additional information and documentation as specified below. Applicant must receive a minimum score of  $\underline{60}$  out of the  $\underline{80}$  possible points.

| 1. | How many times has Applicant, or any of its officers, supervisors, or managers, declared bankruptcy at any time during the last five (5) years? If yes, attach a copy of the bankruptcy petition showing the case number and the date on which the petition was filed, a copy of the Bankruptcy Court's discharge order or any other document that concluded the case if no discharge order was issued).   | # of times: |
|----|--|-------------|
| 2. | How many times has Applicant, or any of its officers, supervisors, or managers, had an injunction, judgment, order, or lien entered against it for outstanding taxes assessed or fines, penalties and/or unpaid employee wages at any time in the last five (5) years? (Explain on a separate sheet. Provide details, including the name of the government agency, caption, date, case or docket number, and disposition. Be sure to note any judgments or liens that have not been fully satisfied.)  | # of times: |
| 3. | How many times in the past five (5) years, has Applicant or any of its officers, supervisors, or managers, been a party in any civil litigation or administrative proceeding alleging violation of any of the following by either Applicant or a subcontractor hired by Applicant: contract antitrust statutes, racketeering statutes, safety and health regulations, environmental laws, laws banning workplace discrimination, laws governing wages, hours or labor standards, or laws involving fraud, theft, or any other act of dishonesty? (Explain on a separate sheet. Provide details including the nature of the claims and defenses, caption, date, case or docket number, name of the court or agency before which the case is pending or which it was heard, and current status.) | # of times: |
| 4. | How many times in the past five (5) years, has Applicant, or any of its officers, supervisors, or managers, paid any amount, fine or otherwise, regardless of characterization, to settle any of the allegations listed in Question 3 above, whether with or without an admission of responsibility or liability? (Explain on a separate sheet. Provide details, including the caption, date, case or docket number, and name of the court or agency before which the case was brought.)   | # of times: |
| 5. | How many times has Applicant, or any of its officers, supervisors, or managers, been debarred, suspended, disqualified, denied a classification rating or prequalification or otherwise been declared not responsible to or prevented from bidding or performing work on any public works contract or subcontract in the last five (5) years? (Explain on a separate sheet. State whether the firm involved was the firm applying for prequalification here or another firm. Identify by name of the company, name of the person within your firm who was associated with that company, date, owner of the project, project name and information, basis for the action, and case or docket number.)  | # of times: |
| 6. | How many times in the last five (5) years has Applicant been assessed and paid liquidated damages after completion of a project under a construction contract with either a public or private owner? (Explain on a separate sheet. Identify all such projects by owner, owner's address, date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.)  | # of times: |
| 7. | How many times during the past five (5) years, has any surety company made any payments on Applicant's behalf as a result of a default, to satisfy any claims made against a performance or payment bond issued on Applicant's behalf, in connection with a construction project, either public or private? (Explain on a separate sheet. Identify the amount of each such claim, name and telephone number of the claimant, date of claim, grounds for claim, present status of claim, date of resolution of such claim if resolved, method by which claim was resolved if resolved, nature of resolution and amount, if any, at which claim was resolved.)   | # of times: |
| 8. | How many times in the last five (5) years has any insurance carrier, for any form of insurance, refused to renew an insurance policy for Applicant? (Explain on a separate sheet. Name the insurance carrier, form of insurance, and year of refusal.)   | # of times: |

| 9. How many times during the last five (5) years, has Applicant been denied bond coverage by a surety company, or has there been a period of time when Applicant had no surety bond in place during a public works construction project when one was required? (Explain on a separate sheet. Indicate the date when Applicant was denied coverage, name of the company or companies which denied coverage, and the time period during which Applicant had no surety bond in place.)  | # of times: |
|--|-------------|
| NOTE: For questions 10 and 11, refer only to disputes between Applicant and the owner of a project. You need not include information about disputes between Applicant and a supplier, another contractor, or subcontractor. You need not include information about "pass-through" disputes in which the actual dispute is between a sub-contractor and a project owner. Also, you may omit reference to all disputes involving amounts of less than \$50,000.  |             |
| 10. How many times in the last five (5) years has any claim against Applicant concerning Applicant's work on a construction project been filed in court or arbitration? (Explain on a separate sheet. Identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim [e.g. "pending" or, if resolved, a brief description of the resolution].)   | # of times: |
| 11. How many times in the last five (5) years has Applicant made any claim against a project owner concerning work on a project or payment for a contract and filed that claim in court or arbitration? (Explain on a separate sheet. Identify the claim(s) by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim [e.g. "pending" or if resolved, a brief description of the resolution].)   | # of times: |
| 12. How many times in the last five (5) years has CalOSHA cited and assessed penalties against Applicant for any "serious," "willful" or "repeat" violation(s) or the federal Occupational Safety and Health Administration cited and assessed penalties against Applicant for violation(s) of safety or health regulations? NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.  | # of times: |
| 13. How many times in the last five (5) years has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either Applicant or the owner of a project on which Applicant was the contractor? (Explain on a separate sheet describing each citation.) NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.  | # of times: |
| 14. How many times within the last five (5) years has there ever been a period when Applicant had employees but was without workers' compensation insurance or state-approved self-insurance? (Explain the reason for each absence of workers' compensation insurance on a separate sheet. If "None," please provide a statement by your current workers' compensation insurance carrier that verifies periods of workers' compensation insurance coverage for the last five years. If Applicant has been in the construction business for less than five (5) years, provide a statement by your workers' compensation insurance carrier verifying continuous workers' compensation insurance coverage for the period that Applicant has been in the construction business.) | # of times: |
| 15. How many times during the last five (5) years, has Applicant or any subcontractors hired by Applicant been required to pay back wages and/or penalties related to state or federal prevailing wage laws for work performed? (Explain on a separate sheet. Identify the Applicant's or subcontractor's business name and CSLB license number, describe the nature of each violation, and identify the name of the project, date of its completion, public agency for which it was constructed, number of employees underpaid, and amount(s) of back wages and penalties Applicant or subcontractor was required to pay.)  | # of times: |

| 16. How many times during the last five (5) years, has Applicant or any subcontractors hired by     |             |
|---|-------------|
| Applicant paid any penalties related to any provision of California apprenticeship laws or          | # of times: |
| regulations, or the laws pertaining to use of apprentices on public works projects, including Labor |             |
| Code sections 1777.5 and 1777.7? (Explain on a separate sheet. Provide the date(s) of such          |             |
| findings, case number(s), and attach copies of the Department's final decision(s).)                 |             |

## PART V: APPLICANT REFERENCES

Provide <u>all</u> of the following information about Applicant's largest and most recently completed public and/or private construction projects. Projects submitted must have been completed <u>within the last five (5) years</u>. Provide information regarding as many completed public and/or private construction projects as possible. Public works experience is preferred, but not required. References will be sent an email requesting that they complete and submit a Contractor Performance Evaluation ("CPE"). Names and references must be current, accurate, and verifiable. <u>It is the Applicant's responsibility to ensure references are willing and available to provide a CPE to the District</u>. Scores will be totaled and averaged to generate Applicant's Field Component Score. <u>Please provide the following information on this form only</u>. You may copy this form and submit separate sheets for each project.

<u>NOTE</u>: If Applicant submits non-LAUSD references but has completed one (1) or more formal LAUSD construction contracts within the past five (5) years, the score(s) from the LAUSD Contractor Performance Evaluation(s) will take precedence over non-LAUSD references submitted by Applicant.

<u>NOTE:</u> Single-family home renovations, remodels, or repairs are <u>not</u> accepted. Work performed on multi-unit and/or multi-floor residential developments (i.e. apartment building/complex, etc.) is acceptable.

| Was this Project Private or Public Wo   | orks? (check only one)          |
|---|---------------------------------|
| Project Name/Site:  |                                 |
|   |                                 |
|   |                                 |
|   |                                 |
| Contract Number:  | Project Number:                 |
| Applicant's Total Contract Amount (including                                      | ng Change Orders):              |
| Original Scheduled Date of Completion:  |                                 |
| Time Extensions Granted (number of days):   |                                 |
|   |                                 |
| Was Applicant a Prime Contractor or *If Applicant was a <i>Prime Contractor</i> : | Subcontractor? (check only one) |
| Project Owner's Name/Entity:  |                                 |
|   |                                 |
| Telephone Number:   |                                 |
| Email (required):   |                                 |
| Architect/Engineer Name & Telephone Num   | ıber:                           |
| Construction Manager Name & Telephone N   | Jumber:                         |
| *If Applicant was a <u>Subcontractor</u> :  |                                 |
| Project Owner's Name/Entity:  |                                 |
| Prime Contractor's Firm Name:   |                                 |
|   |                                 |
|   |                                 |
| Emoil (required):   |                                 |

## PART VI: CONTRACTOR SAFETY PREQUALIFICATION

This Contractor Safety Prequalification section evaluates Applicant's overall safety performance and determines whether Applicant has an acceptable safety record. Once prequalified, Applicant must ensure that it and <u>all tiers</u> of its subcontractors meet all of LAUSD's Safety Prequalification requirements. Failure by Applicant or any of its subcontractors to meet these criteria at all times may be grounds for Applicant's disqualification.

The information required in this questionnaire must include all construction work undertaken by the Applicant and any partnership, joint venture, or corporation that any principal of the Applicant participated in as a principal or owner for the last three (3) calendar years and the current calendar year prior to the date of submittal. Separate information shall be submitted for each particular partner or joint venture. The Applicant may be requested to submit additional information or an explanation of data for evaluation of their safety record. Failure to provide all information listed below could result in exclusion from the bid process.

#### SECTION A.

Workers Compensation insurance coverage covering all employees and operations of Applicant is required at all times.

Applicant may be disqualified if either its (a) current EMR, or (b) average EMR for the most recent three-year period, is above 1.00, in which case, it must submit all of the following to LAUSD:

- a. Applicant's written analysis of why the EMR is above 1.00;
- b. Worker's Compensation Loss Runs for the past three (3) full calendar years;
- c. Copy of Applicant's Illness and Injury Prevention Program ("IIPP") and Code of Safe Practices;
- d. Written description of actions currently being taken by Applicant to reduce employee injuries, illnesses and Workers' Compensation losses; and
- e. A list of on-site safety representatives and proof of their OSHA 10-hour training.

The District will determine, based on the information submitted, whether Applicant has satisfied the requirements of Section A even if it has a current or three-year average EMR above 1.00.

## **Workers Compensation Insurance - Experience Modification Rate (EMR)**

| 1. | periods. If Applica                | ant does not have an i                             | broker/carrier Applicant's <b>i</b> ntrastate rating, provide its plete the following data and<br>Experience | interstate EMR's  | s. However, <u>pr</u> | eference is  |
|----|------------------------------------|--|--|-------------------|-----------------------|--------------|
|    | mirastate Eivik.                   | Policy Year  | Modification Rate  | Rating Type       |                       |              |
|    | Current EMR                        |  |  | [ ] Intrastate    |                       |              |
|    | 1 year ago                         |  |  | [ ] Interstate    |                       |              |
|    | 2 years ago                        |  |  |                   |                       |              |
|    | 3 years ago                        |  |  |                   |                       |              |
|    | By initialing here, I              | certify that Applicant                             | does not have an EMR*.   |                   | -                     |              |
|    | * Applicant must su<br>have an EMI | 1  | m's Loss Runs for the last th  | hree (3) calendar | years if your fi      | rm does not  |
|    | Is Applicant's EMR                 | for the most recent th                             | ree-year period an average of  | of 1.00 or less?  | []Yes []N             | Vo           |
|    | Is Applicant self-ins              | sured for Workers Cor                              | npensation Claims?   |                   | [ ] Yes* [ ]          | No           |
|    |                                    | ch a copy of the latest<br>of California Certifica | Annual Report to the State ate of Self-Insurance.  | of California D   | ept. of Industric     | al Relations |
| 2. | Anniversary Rating                 | Date:  | Rating Bureau  | u File #          |                       |              |
| 3. | Name of Applicant's                | s Workers' Compensa                                | tion carrier   |                   |                       |              |

#### SECTION B.

Applicant will be evaluated on OSHA Incident Rates compared to the most current data provided by the Annual Survey of Occupational Injuries and Illnesses conducted by the Bureau of Labor Statistics, U.S. Department of Labor ("BLS"). Applicant may be disqualified if its average total recordable injury/illness rate or average lost work rate for the most recent three-year period exceed the applicable statistical standards for its business category.

If Applicant's average total recordable injury/illness rate or average lost work rate for the most recent three-year period exceed the applicable statistical standards for its business category, it must submit all of the following to LAUSD:

- a. Applicant's written analysis of why its Incidence Rate is higher than the BLS Incidence Rates;
- b. Copy of Applicant's complete OSHA 300 Log for each of the past three (3) years. Applicants with ten (10) or fewer employees at all times during the calendar year are not required to maintain the OSHA 300 Log, but must provide copies of its Workers' Compensation Insurance Loss Runs for the past three (3) years;
- c. Copy of Applicant's Illness and Injury Prevention Program ("IIPP") and Code of Safe Practices;
- d. Written description of actions currently being taken by Applicant to reduce employee injuries and illnesses; and
- e. A list of on-site safety representatives and proof of their OSHA 10-hour training.

LAUSD will determine, based on the information submitted, whether Applicant has satisfied the requirements of Section B even though it has an Incidence Rate above the BLS Incidence Rates.

## **OSHA Recordable Incidence Rates**

To answer the following questions, utilize data obtained from Applicant's OSHA 300 "Log and Summary of Occupational Injuries and Illnesses," or Workers' Compensation Loss Run (if your company has ten (10) or fewer employees).

## ALL FIRMS HAVE DATA TO REPORT AND MUST COMPLETE THIS SECTION!

| 1. | <u>Industry Comparison Information.</u> Enter your NAICS Code below: |
|----|--|
|    | North American Industry Classification System (NAICS) Code           |

2. What is Applicant's company-wide OSHA Total Case Incidence Rate\* (recordable cases) for the **last three (3)** years?

| Year | # of Cases | Co. Hours**** | Rate |
|------|------------|---------------|------|
|      |            |               |      |
|      |            |               |      |
|      |            |               |      |

**3.** What is Applicant's company-wide Lost Workday Case Incidence Rate\*\* (recordable cases with lost workdays or restricted duty) for the **last three** (3) years?

| Year | # of Cases | Co. Hours**** | Rate |
|------|------------|---------------|------|
|      |            |               |      |
|      |            |               |      |
|      |            |               |      |

**4.** What is Applicant's company-wide number of No Lost Workday Case Incidence Rate\*\*\* (recordable cases without lost workdays) for the **last three** (3) years?

| Year | # of Cases | Co. Hours**** | Rate |
|------|------------|---------------|------|
|      |            |               |      |
|      |            |               |      |
|      |            |               |      |

Information to aid in completing Section B, #2, 3 and 4:

OSHA Total Case Incidence Rate =

Total # of Recordable Cases x 200,000 Company Man-hours

|                      | **                 | Lost Workday  | Case Incidenc   | ce Rate =                                       | # of Lo  | ost Workday Cases x 200,0<br>Company Man-hours  | <u>000</u>        |          |
|----------------------|--------------------|---|---|---|--|---|-------------------|----------|
|                      | ***                | No Lost Work  | day Case Incid  | lence Rate =                                    | # of No  | o Lost Workday Cases x 2<br>Company Man-hours   | <u>00,000</u>     |          |
|                      | ****               | Co. Hours =   | Hours work  | ed by all emp                                   | loyees on the (  | Company payroll in the a  | pplicable calendo | ar year. |
|                      | •                  | Do not use the<br>Rates are not a<br>To verify your | e number of los<br>a "%", nor sho<br>r calculations f   | st workdays i<br>uld the numb<br>for a given ye | n these three (i<br>er be similar to<br>ar, check your | and in the LAUSD Safety 3) calculations. 5 "0.00024". math as follows: 7 = Total Case Incidence |                   |          |
| SECTIO               | ON C.              |   |   |   |  |   |                   |          |
| of its OS            | HA red             |   | ect to "serious a   | and willful vi                                  | olations of Par  | evaluation of Applicant sl<br>t 1 (commencing with Se   |                   |          |
| Has App<br>sixty (60 | licant i<br>) mont |   | serious", "willf<br>mmediately pr   | ior to submit                                   | tal of this Ques                                       | abate" OSHA violations of the stionnaire? This question   |                   |          |
| [ ] No               |                    | "repeat" or "                                       | failure to abat   | e" and such                                     | violations are j                                       | citations classified as "se<br>found during the verificat<br>sy be imposed by LAUSD   | ion process the   |          |
| [ ] Yes              | ,                  | citations and d                                     | escriptions of descriptions of descriptions of descriptions of the second second second second second second se | abatement ac<br>our OSHA 30                     | tions, your con  | er year in the table below<br>npany Injury and Illness I<br>mmaries and/or Workers'   | Prevention Progr  | ram and  |
|                      |                    | Year  | Serious   | Willful   | Repeat   | Failure to Abate  | Total             |          |
|                      |                    |   |   |   |  |   |                   |          |
|                      |                    | 1   | •   |   | •  |   |                   |          |

| Year | Serious | Willful | Repeat | Failure to Abate | Total |
|------|---------|---------|--------|------------------|-------|
|      |         |         |        |                  |       |
|      |         |         |        |                  |       |
|      |         |         |        |                  |       |
|      |         |         |        |                  |       |
|      |         |         |        |                  |       |
|      |         |         |        |                  |       |

## SECTION D.

## **District Safety Policies and Procedures (16 Questions)**

Applicant must respond "yes" or "no" to each question, and answers are assigned a weighted value. To satisfy the requirements under Section D, Applicant <u>must</u>:

- (1) Receive a score of at least 85 out of 100 points; and
- (2) Respond "Yes" to questions 1, 6, 7, 10, 15 and 16 (see Title 8, California Code of Regulations, Section 3203).

| No. | Question   | YES | NO | Points |
|-----|--|-----|----|--------|
| 1.  | Injury and Illness Prevention Program. Does Applicant have an effective, written Injury and Illness Prevention Program (IIPP) in accordance with 8CCR §1509 & §3203? [LC §6401.7]. If yes, copy of the Program must be available at the jobsite.   |     |    | 21     |
| 2.  | Does Applicant have a safety policy statement endorsed by top management? [LC §6401]   |     |    | 2      |
| 3.  | Does Applicant's on-site safety representative have the authority and been allocated sufficient time to audit and enforce compliance with job site safety protocol? [LC §6401.7(a)(7)]   |     |    | 4      |
| 4.  | Does Applicant have a disciplinary action program that includes provisions for acting on safety and health issues of its employees (and subcontractors, if applicable), and is the program enforced? [LC §6401.7(a)(6)]  |     |    | 4      |
| 5.  | Is safety pre-planning included in project planning and/or progress meeting(s) in order to ensure that safety and loss control activities are integrated into the project work plan? [LAUSD OCIP Requirements – Safety Standards]  |     |    | 4      |
| 6.  | Does Applicant have a comprehensive Hazard Communication Program that (a) details locations for Material Safety Data Sheets (MSDS) and (b) contains provisions for multi-employer job sites? [8 CCR §5194]   |     |    | 6      |
| 7.  | Does Applicant conduct ongoing job site safety and health inspections, and are the inspection records kept on file and available for review? [LC §6401.7(A)(2)] Is there written verification that job site safety and health violations have been reviewed and corrective action taken? [LC§6401.7(b) and (D)]  |     |    | 13     |
| 8.  | Safety Reviews/Hazard Analysis. Are all critical (hazardous) job activities identified and Job Safety Analysis' (JSA, a.k.a. Job Hazard Analysis, or JHA) conducted by Applicant (and its subcontractors, if applicable)? [LC§6401.7(A)(5)]  |     |    | 2      |
| 9.  | Are the procedures for critical (hazardous) job activities written and reviewed with all of Applicant's employees (including subcontractor employees)? [LC 6401.7(a)(5)]   |     |    | 4      |
| 10. | Accident/Incident Investigation and Analysis. Does Applicant have a written accident/incident investigation procedure in which:  (a) all accidents/incidents (including those of its subcontractors, if applicable) are investigated to determine their root cause, and  (b) corrective action is taken by site supervision and management, and  (c) written investigation and corrective action records are available for review?  [8CCR §3203(a)(5) and (b)] |     |    | 6      |
| 11. | Are reports completed for "near miss" incidents that might have caused serious injury, property or equipment damage? [LC §6403(b)]   |     |    | 4      |
| 12. | Emergency Response. Does Applicant have a comprehensive written emergency response plan (i.e., fire, toxic spills, bomb threats, natural disasters, crowd and traffic control, and media relations) for job sites; and do all employees (including its subcontractor employees, if applicable) receive project-specific emergency response training? [8 CCR §3220 (a) and (e)]   |     |    | 4      |
| 13. | Substance Abuse Control Program. Does Applicant have a written Substance Abuse Program? [LC §6403]   |     |    | 2      |
| 14. | Does Applicant require its subcontractors of all tiers to have a/or comply with its Substance Abuse Program? [LC §6403]  |     |    | 2      |

| 15. | Employee Training. Does Applicant ensure that all employees (including subcontractor |  |  | 11 |
|-----|--|--|--|----|
|     | employees) are trained in accordance with its written training plan, and             |  |  |    |
|     | (a) are competent to perform the work required, and                                  |  |  |    |
|     | (b) that job tasks requiring specific training and/or certification are performed by |  |  |    |
|     | employees having the appropriate training documentation and certificates, and the    |  |  |    |
|     | documentation is maintained and available for review? [LC §6401.7(c) and (d)]        |  |  |    |
| 16. | Is documentation on file and available for review to verify that training and safety |  |  | 11 |
|     | meetings for Applicant (and its subcontractors, if applicable) have been completed?  |  |  |    |
|     | [LC §6401.7(c) and 8CCR §1509(e)]  |  |  |    |

## MEP SUBCONTRACTOR QUESTIONNAIRE CERTIFICATION

## (Applicant is required to complete this form)

| STATE OF CALIFORNIA, COUNTY OF  |  |  |  |
|---|--|--|--|
| I have read the Los Angeles Unified School Deknow its contents.   | District's (LAUSD) MEF   | P Subcontractor Prequalification Q   | uestionnaire and                                       |
| CHECK APPLICABLE INFORMATION:   |  |  |  |
| I am  an Officer,  apartner,  a   | (State position or office  | ce held with your firm)  | of   |
| Firm's Name   |  |  |  |
| and I certify under penalty of perjury under the verification for and on its behalf and I make the determination means only that I should be computed on the mean anything else; and (3) matters seexcept as to those matters which are based on in | is verification as one wh<br>appetent to bid on or perfortated in the foregoing do | o is authorized to do so; (2) that the orm a public works contract for LA ocument are true as a matter of my | ne "prequalified"<br>AUSD and that it<br>own knowledge |
| Executed on   | , at   | , California.  |  |
| I declare under penalty of perjury of the law and accurate.   | s of the State of Califor  | rnia that all statements contained   | herein are true  |
| Type or Print Name  | Signature  |  |  |

PLEASE NOTE: The person affixing his/her signature herein MUST be among those submitted on a list, together with their NOTARIZED signatures, of all persons authorized to sign for the company.