

AGREEMENT FOR PROFESSIONAL SERVICES No. 4400008600

THIS AGREEMENT (“Agreement”) Replaces and supersedes Limited Notice to Proceed 4400008600 dated August 11, 2020.

CITY YEAR, INC.
606 OLIVE STREET, 2ND FLOOR
LOS ANGELES, CA 90014
ATTN: ERIN ROSS Email: eross@cityyear.org

hereinafter referred to as the “Contractor,” or “City Year” and

LOS ANGELES UNIFIED SCHOOL DISTRICT

hereinafter referred to as the “District” or “LAUSD.”

WHEREAS, the District is authorized by Government Code § 53060 to contract with an independent contractor specially trained to perform special services required; and WHEREAS, the Contractor is specially trained and experienced and competent to perform the special services pursuant to this Agreement; THEREFORE, the parties hereto agree as follows:

1. **PERIOD OF AGREEMENT.** This Agreement shall be from September 16, 2020 through September 15, 2022.

1.1 OPTIONS

This Agreement includes an initial term of Two (2) years and three (3) one-year options to continue services for additional periods, at the election of the District. The District may exercise the said options within thirty (30) days prior to the expiration date of the preceding authorized period by issuance of an appropriately funded unilateral modification to the Agreement citing this special contract requirement as authority.

	Period of Agreement
Initial Period	September 16, 2020 – September 15, 2022
Option Year 1	September 16, 2022 – September 15, 2023
Option Year 2	September 16, 2023 – September 15, 2024
Option Year 3	September 16, 2024 – September 15, 2025

2. **APPROVAL.** This Agreement is of no force or effect until signed by both parties and approved by the Board of Education of the Los Angeles Unified School District (“Board of Education”), or an authorized designee of the Board of Education. Contractor may not commence performance until such approval has been obtained.
3. **DUTIES OF THE CONTRACTOR** shall be to provide services in accordance with **Exhibit A, Statement of Work**, which is attached hereto and made a part hereof.

The performance of these duties shall be at times and places within the limits of District policy at the discretion of the Contractor.

4. INDEPENDENT CONTRACTOR. While engaged in performance of this Agreement the Contractor is an independent contractor and is not an officer, agent, or employee of the District. Contractor is not entitled to benefits of any kind to which District's employees are entitled, including but not limited to unemployment compensation, workers' compensation, health insurance and retirement benefits. Contractor assumes full responsibility for the acts and/or omissions of Contractor's employees or agents as they relate to performance of this Agreement. Contractor assumes full responsibility for workers' compensation insurance, and payment of all federal, state and local taxes or contributions, including but not limited to unemployment insurance, social security, Medicare and income taxes with respect to Contractor and Contractor's employees. Contractor warrants its compliance with the criteria established by the U.S. Internal Revenue Service (I.R.S.) for qualification as an independent contractor, including but not limited to being hired on a temporary basis, having some discretion in scheduling time to complete contract work, working for more than one employer at a time, and acquiring and maintaining its own office space and equipment. Contractor agrees to indemnify District for all costs and any penalties arising from audits by state and/or federal tax entities related to services provided by Contractor's employees and agents under this Agreement.

5. CONTRACT FEE AND FEE TRACKING

5.1. This is a Bench of zero-based contracts. A "Bench" is defined as a roster of qualified firms to provide the same services. The District makes no representation that any minimum amount of Services will be ordered by it (through any school or office) from Contractor during the term of this Agreement. The District does NOT represent or guarantee any minimum numbers of Orders for Services. Further, the District does NOT represent or guarantee any minimum dollar amount of Orders for Services under this Agreement. The District reserves the right to compete Work Orders among the firms on the Bench.

5.2. District Payment on Orders for Services shall be contingent upon acceptance of the Services and approval of the corresponding invoice(s) by the appropriate District Administrator or designee. Additional payment-related documentation shall be furnished by Contractor to the District upon request.

5.3. To the extent any Order for Services are placed hereunder, Contractor is not entitled to receive payment in the aggregate that exceeds the total dollar amount authorized by the District's Board of Education

5.4. Invoices must (a) reference this Agreement number and the related purchase order number, (b) be signed and submitted by the Contractor to the locations identified below, and (s) shall itemize services date(s), and payment rate(s) consistent with the terms of agreement.

Mail Original Invoice(s):

Los Angeles Unified School District
Pupil Services
333 S. Beaudry Avenue, 29th Floor

Los Angeles, CA 90017

Attn: Elsy Rosado, Director, Pupil Services

And Email Invoices to:

Elsy.rosado@lausd.net

- 5.5 **ADJUSTMENT TO THE RATE (UNIT PRICE) SCHEDULE.** The Price Schedule (unit price) is firm for the entire contract period of 60-months. The rates may be “subject to adjustment” at the beginning of each annual contract period. It is expressly understood that contract rate increases are not automatic or guaranteed. Contractor’s request to increase the current rate schedule will be evaluated and considered when such adjustments are requested. The District reserves the right to reject any such request and may leave contract as is, re-bid and/or terminate said contract within the provisions of the existing agreement. The District may offer a lower, higher or no increase in percentage. All increases are subject to negotiation between the Contractor and the District. If an agreement is not reached prior to the start of the next contract period, the rate (unit price) of said contract shall remain in effect. Unit prices will not change until an agreement is reached and thus will not be retroactive. The Contractor shall honor and deliver work orders/purchase orders issued prior to an agreed upon rate increase with delivery dates up to 60 days beyond the effective date of the rate increase, which shall not be subject to agreed upon rate increase. The adjustment to the contract’s Rate (unit price) Schedule, if granted by the District, shall be effective February 1st or on the first day of the month following final District approval, whichever is applicable and continue through the remaining contract period. Increases considered by the District shall be evaluated by using the percentage of change between the previous year and the current year’s Consumer Price Index, (C.P.I.) published by the U.S. Department of Labor’s Bureau of Labor Statistics, as a guide only. The specific index to be reviewed is the C.P.I. for Los Angeles-Riverside-Orange County, California for the month of (that month which is six (6) months prior to the contract’s annual end date) each year using the “Special Aggregate Index” category of “All Items Less Shelter” under the “All Urban Consumers” column. For further information on the Consumer Price Index, contact the U.S. Department of Labor, Bureau of Labor Statistics at (415) 625-2270, menu option 2. NOTE: All requests for rate adjustments must be received by the District, in writing, no later than 90days prior to the end of each annual contract period at the District’s Title I Office. An explanation citing the rationale for price increase should be included in such correspondence.
6. **RIGHTS TO REPORT.** The rights to any report, evaluation and/or other material developed by the Contractor pursuant to this Agreement shall belong to the District.
7. **CONFLICT OF INTEREST.** Contractor understands all federal and state laws as well as all provisions of LAUSD’s Contractor Code of Conduct, attached hereto as part of **Exhibit C** and made apart hereof, pertaining to conflict of interest. Contractor shall comply with the District’s Contractor Code of Conduct and hereby certifies on behalf of any Representatives, as that term is defined in the Contractor Code of Conduct, that there is no existing financial interest, whether direct or indirect, which would conflict in any manner or degree with the performance of services required under this Agreement and that none will be acquired. Further, Contractor certifies that no persons having any such interests shall be subcontracted in connection with this Agreement or employed by the Contractor.

Contractor understands that California law governs situations in which there exists or has existed a financial interest between a Contractor and a public official within a 12-month window leading up to a governmental decision. It does not matter whether the impact of an existing relationship is beneficial or detrimental to the interests of the Contractor, its Representatives or the public agency.

Contractor is also responsible for taking all the necessary steps to avoid even the appearance of impropriety or misrepresentation and has a duty to disclose to District any and all circumstances existing at such time which pose a potential conflict of interest, prior to entering into this Agreement. Further, Contractor has an ongoing obligation to proactively disclose any potential or actual conflict of interest through a "Meaningful Conflict Disclosure" to District and to fully cooperate in any inquiry to enable District to determine whether there is a conflict of interest and what resolution is necessary.

Failure to comply with any of these provisions shall constitute grounds for immediate termination of this Agreement, in addition to whatever other remedies District may seek.

8. AUDIT AND INSPECTION OF RECORDS. Contractor shall maintain and the District shall have the right to examine and audit all of the books, records, documents, accounting procedures and practices and other evidence regardless of form (e.g., machine-readable media such as disk, tape, etc.) or type (e.g., databases, applications software, database management software, utilities, etc.), sufficient to properly reflect all costs claimed to have been incurred or anticipated to be incurred in performing this Agreement.

Contractor shall make said evidence (or to the extent accepted by the District, photographs, micro-photographs or other authentic reproductions thereof) available to the District at the District's or Contractor's offices (to be specified by the District) at all reasonable times and without charge to the District. Said evidence/records shall be provided to the District within five (5) working days of a written request from the District. Contractor shall, at no cost to the District, furnish assistance for such examination/audit. Contractor and its subcontractors and suppliers shall keep and preserve all such records for a period of at least three (3) years from and after final payment or, if the Agreement is terminated in whole or in part, until three (3) years after the final agreement close-out. The District's rights under this section shall also include access to Contractor's offices for the purpose of interviewing Contractor's employees.

Any information provided on machine-readable media shall be provided in a format accessible and readable by the District. Contractor's failure to provide records or access within the time requested shall preclude Contractor from receiving any payment due under the terms of this Agreement until such evidence/documents are provided to the District. The Contractor shall obtain from its subcontractors and suppliers written agreements to the requirements of this section and shall provide a copy of such agreements to the District upon request by the District.

9. CONFIDENTIALITY

- 9.1. This Agreement, all communications and information obtained by Contractor from District relating to this Agreement, and all information developed by Contractor under this Agreement, are confidential. Except as provided in Subsection 9.3, without the prior written consent of an authorized representative of District, Contractor shall neither divulge to, nor discuss with, any third party either the work and services provided hereunder, or any communication or information in connection with such services or work, except as required by law. As far in advance as is reasonably possible prior to any disclosure of such matters, whether as required

by law or otherwise, Contractor shall inform District, in writing, of the nature and reasons for such disclosure. Contractor shall not use any communications or information obtained from District for any purpose other than the performance of this Agreement, without District's written prior consent.

- 9.2. At the conclusion of the performance of this Agreement, and subject to any record-keeping requirements Contractor may have per the terms of its grant with the Corporation for National and Community Service (CNCS), Contractor shall return to District all written materials constituting or incorporating any communications or information obtained from District. Upon District's specific approval, Contractor may retain copies of such materials, subject to the requirements of Subsection 9.1.
- 9.3. Contractor may disclose to any subcontractor, or District approved third parties, any information otherwise subject to Subsection 9.1 that is reasonably required for the performance of the subcontractor's work under this Agreement. Prior to any such disclosure, Contractor shall obtain the subcontractor's written agreement to the requirements of Subsection 9.1 and shall provide a copy of such agreement to District.
- 9.4. Contractor represents that it shall not publish or cause to be disseminated through any press release, public statement, or marketing or selling effort any information which relates to this Agreement, nor shall Contractor make representations about the District in oral or written form without the prior written approval of District.
- 9.5. Contractor's obligation of confidence with respect to information submitted or disclosed to Contractor by District hereunder shall survive termination of this Agreement.
- 9.6. Data Privacy

Under this Agreement, the District considers Contractor to be a "school official" with "legitimate educational interests" performing an institutional service or function for which the District would otherwise use employees within the meaning of the Family Educational Rights and Privacy Act ("FERPA"), 20 U.S.C. § 1232g and 34 C.F.R. Part 99, and California Education Code §§ 49060-49085. As such, 34 C.F.R. 99.31(a)(1)(i) allows the District to disclose personally identifiable information from education records of students without the consent required by 34 C.F.R. section 99.30.

- 9.6.1. In regard to any personally identifiable information ("PII" or "District Data") from an education record that the District discloses, Contractor shall:
 - a. Not disclose the information to any other party without the consent of the parent or eligible student;
 - b. Use the data for no purpose other than the work described in this Agreement;
 - c. Allow the District access to any relevant records for purposes of completing authorized audits;
 - d. Require all employees, contractors and agents of any kind to comply with all applicable provisions of FERPA and other federal and California laws with respect to the data shared under this Agreement;

- e. Maintain all data obtained pursuant to this Agreement in a secure computer environment and not copy, reproduce or transmit data obtained pursuant to this Agreement except as necessary to fulfill the purpose of this Agreement. All copies of data of any type, including any modifications or additions to data from any source that contains information regarding students, are subject to the provisions of this Agreement in the same manner as the original data. The ability to access or maintain data under this Agreement shall not under any circumstances transfer from Contractor to any other institution or entity;
- f. Delete or return all personally identifiable information obtained under this Agreement when it is no longer needed for the purpose for which it was obtained no later than 30 days after it is no longer needed and subject to any record-keeping requirements Contractor may have per the terms of its grant with the Corporation for National and Community Service (CNCS), . In the event Contractor deletes the PII, Contractor shall provide the District with certification of such deletion within five (5) business days of deletion.
- g. Failure to return or destroy the PII will preclude Contractor from accessing personally identifiable student information for at least five years as provided for in 34 C.F.R. section 99.31(a)(6)(iv).

9.6.2 If Contractor is an operator of an Internet website, online service, online application, or mobile application, Contractor shall comply with the requirements of California Business and Professions Code § 22584 and District policy as follows:

- a. Contractor shall not (i) knowingly engage in targeted advertising on the Contractor's site, service or application to District students or their parents or legal guardians; (ii) use PII to amass a profile about a District student; (iii) sell information, including PII; or (iv) disclose PII without the District's written permission.
- b. Contractor will store and process District Data in accordance with commercial best practices, including appropriate administrative, physical, and technical safeguards, to secure such data from unauthorized access, disclosure, alteration, and use. Such measures will be no less protective than those used to secure Contractor's own data of a similar type, and in no event less than reasonable in view of the type and nature of the data involved. Without limiting the foregoing, Contractor warrants that electronic District data will be encrypted in transmission using secure hypertext transfer protocol (HTTPS) with transport layer security (TLS) protocol version 1.2 to enable secure communications over the Internet, and encrypted in transit using cryptographic protocol TLS 1.2 or greater and encrypted at rest using a key no less than 128 bits in length.
- c. Contractor shall delete a student's covered information upon request of the District.
- d. District Data shall not be stored outside the United States without prior written consent from the District.
- e. In the event of an actual or potential breach of PII data, Contractor shall immediately notify the District.

9.6.3 Subcontractor Use of District Data. To the extent necessary to perform its obligations specified in the Agreement, Contractor may disclose District Data to subcontractors subject to and in compliance the parties' Data Use Agreement.

10. EVALUATION. The Contractor acknowledges that the presentation or services may be evaluated by the participants, the District's Office of Data and Accountability or any other District offices or schools and understands that the results of the evaluation may be subject to a Public Records Act request under California Government Code §6520, et seq. The Contractor agrees to cooperate fully with any such evaluation and agrees to promptly furnish any information that is requested by the District for evaluation purposes.
11. EQUAL EMPLOYMENT OPPORTUNITY. It is the policy of the District that, in connection with all work performed under District agreements, there shall be no discrimination against any employee or applicant for employment because of race, color, religious creed, national origin, ancestry, marital status, sex, sexual orientation, age, disability or medical condition and therefore the Contractor agrees to comply with applicable federal and state laws. In addition, the Contractor agrees to require like compliance by all subcontractors employed on the work.
12. NON-DISCRIMINATION. The Los Angeles Unified School District is committed to providing a working and learning environment free from discrimination, harassment, intimidation and/or bullying. The District prohibits discrimination, harassment, intimidation and/or bullying based on the actual or perceived characteristics set forth in California Penal Code §422.5, California Education Code §220 and actual or perceived sex, sexual orientation, gender, gender identity, gender expression, race or ethnicity, ethnic group identification, ancestry, nationality, national origin, religion, color, mental or physical disability, age, or on the basis of a person's association with a person or group with one or more of these actual or perceived characteristics, in any program or activity it conducts or to which it provides significant assistance.
13. EXCUSED PERFORMANCE; FORCE MAJEURE EVENT. If a party wishes to excuse performance under the Agreement as a consequence of an Event of Force Majeure (as defined below), it shall as soon as possible following the occurrence or date of commencement of such Event of Force Majeure, provide Notice to the other party of the nature and expected duration of such Event of Force Majeure and shall thereafter keep the other party informed until such time as, in its sole judgment, it is able to perform its obligations.

Subject to the proviso at the end of this subsection, neither the District nor Contractor shall be considered in breach of this Agreement to the extent that performance of their respective obligations is prevented by an Event of Force Majeure that arises after the date of such Notice; provided, however nothing contained herein shall be construed to excuse the District's obligation to pay Contractor for services rendered prior to the date of such Notice.

For purposes of this Agreement, "Event of Force Majeure" means an event beyond the control of the District and City Year, which prevents a party from complying with any of its obligations under this Agreement, including but not limited to:

- i. act of God (such as, but not limited to, fires, explosions, earthquakes, drought, tidal waves and floods);
- ii. war, hostilities (whether war be declared or not), invasion, act of foreign enemies, mobilization, requisition, or embargo;

- iii. contamination by radioactivity from any nuclear fuel, or from any nuclear waste from the combustion of nuclear fuel, radio-active toxic explosive, or other hazardous properties of any explosive nuclear assembly or nuclear component of such assembly;
- iv. pandemic, or geological conditions or the presence of hazardous materials or waste of a nature or in locations, quantities, concentrations or conditions which could not reasonably have been expected by the parties;
- v. riot, strike, lock out, or
- vi. acts or threats of terrorism.

14. TERMINATION FOR CONVENIENCE

14.1. The District may, by 30-day written notice to the Contractor, terminate this Agreement in whole or in part at any time, for the District's convenience. Upon receipt of such notice, the Contractor shall:

- a. Immediately discontinue all services affected (unless the notice directs otherwise); and
- b. Subject to any record-keeping requirements Contractor may have per the terms of its grant with the Corporation for National and Community Service (CNCS), deliver to the District all information and material as may have been involved in the provision of services whether provided by the District or generated by the Contractor in the performance of this Agreement, whether completed or in process. Termination of this Agreement shall be as of the date stated in the notice to Contractor.

14.2. If the termination is for the convenience of the District, Contractor shall submit a final invoice within 60 days of termination and, upon approval by the District, the District shall pay the Contractor the sums earned for the services actually performed prior to the effective date of termination and other costs reasonably incurred by the Contractor to implement the termination.

14.3. The Contractor shall not be entitled to anticipatory or consequential damages as a result of any termination under this section. Payment to the Contractor in accordance with this section shall constitute the Contractor's exclusive remedy for any termination hereunder. The rights and remedies of the District provided in this section are in addition to any other rights and remedies provided by law or under this Agreement.

15. TERMINATION FOR DEFAULT

15.1. The District may, by written notice to the Contractor, terminate this Agreement in whole or in part at any time because of the failure of the Contractor to fulfill its contractual obligations. However, Contractor shall first have the opportunity to cure any alleged breach within 30 days of receiving notice of same. Should Contractor fail to cure the alleged breach within 30 days of receipt of such notice, the Contractor shall:

- a. Immediately discontinue all services affected (unless the notice directs otherwise); and
- b. Deliver to the District all information and material as may have been involved in the provision of services whether provided by the District or generated by the Contractor in the performance of this Agreement, whether completed or in process. Termination of this

Agreement shall be as of the date stated in the notice to Contractor.

- 15.2. If the termination is due to the failure of the Contractor to fulfill its contractual obligations, the District shall give Contractor written notice of any alleged default and a 30-day opportunity to cure same. Should Contractor fail to cure the default within 30 days of notice, the District may then terminate the Agreement and may take over the services and complete the services by contract or otherwise. In such case, the Contractor shall be liable to the District for any reasonable costs or damages occasioned to the District thereby. The expense of completing the services, or any obligation, will be charged to the Contractor and will be deducted by the District out of such payments as may be do or may at any time thereafter become due to the Contractor. If such costs and expenses are in excess of the sum which otherwise would have been payable to the Contractor, then the Contractor shall promptly pay the amount of such access to the District upon notice of the excess, provided, however that such recoverable procurement costs and expenses shall in no event exceed 5% of the aggregate amount that would otherwise be payable to the Contractor. The foregoing sentence shall not limit, bar or invalidate the District's right to seek and recover any other damages suffered by the District due to the Contractor's default, including but not limited to seeking and enforcing the District's right to indemnification and as an additional insured pursuant to Section 22 of the Agreement.
- 15.3. If, after the notice of termination for failure to fulfill contract obligations, it is determined that the Contractor has not so failed, the termination shall be deemed to have been effected for the convenience of the District. In such event, adjustment shall be made as provided in the prior section, Termination for Convenience.
- 15.4. The Contractor shall not be entitled to anticipatory or consequential damages as a result of any termination under this section. Payment to the Contractor in accordance with this section shall constitute the Contractor's exclusive remedy for any termination hereunder. The rights and remedies of the District provided in this section are in addition to any other rights and remedies provided by law or under this Agreement.
16. ASSIGNMENTS. Neither the performance of this Agreement, nor any part thereof, may be assigned by either party without the prior written consent and approval of the other.
17. GOVERNING LAW AND VENUE. The validity, interpretation and performance of this Agreement shall be determined according to the laws of the State of California, without reference to its conflict of laws provisions. Venue for any court proceedings in connection herewith shall be in the state or federal courts located within the City of Los Angeles, California.
18. ENTIRE AGREEMENT/AMENDMENT. This Agreement, all exhibits to this Agreement, the RFP and Proposal constitute the entire agreement between the parties to the Agreement and supersede any prior or contemporaneous written or oral understanding or agreement, and may be amended only by written amendment executed by both parties to this Agreement.
19. ORDER OF PRECEDENCE. In the event of any conflict in the definition or interpretation of any word, responsibility, service, schedule, or contents of a deliverable product between the provisions of the Agreement which precede the signature page and Exhibits to the Agreement, said conflict or inconsistency shall be resolved by giving precedence in the following order (1) provisions of the

Agreement which precede the signature; (2) Exhibit D, District Contractor Code of Conduct; (3) Exhibit A, Statement of Work; (4) Exhibit C, Price Schedule; (5) Request for Proposal No. 2000002024, issued June 8, 2020 and all addenda thereto; and (6) Contractor's Proposal, dated July 13, 2020; (7) All other Exhibits to this Agreement.

20. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY OR VOLUNTARY EXCLUSION.

The following certification is applicable only to contracts for \$25,000 or more which are funded by federal funds.

By signing this Agreement, the Contractor certifies that:

- (a) The Contractor and any of its principals and/or subcontractors are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded for the award of contracts by any Federal agency, and
- (b) Have not, within a three-year period preceding this Agreement's effective date, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a federal, state or local government contract or subcontract; violation of federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, or receiving stolen property; and are not presently indicted for, or otherwise criminally or civilly charged by a government entity with, commission of any of these offenses.

21. REPRESENTATIONS, WARRANTIES AND COVENANTS. Notwithstanding any language to the contrary in this Agreement or any exhibit to this Agreement, Contractor represents, warrants, and covenants to District as follows:

21.1. Compliance with Laws and Regulations

At all times during the term of this Agreement, Contractor shall comply with all applicable federal, state, and local laws and regulations during its performance of all work contemplated by Exhibit A to this Agreement ("Work"), including the prohibitions set forth on **Exhibit B AmeriCorps List of Various Non-Allowable Activities**, attached and incorporated by this reference. Contractor represents and warrants that it has all licenses or certificates required to perform the Work or has received waivers from such requirements. Contractor shall insure that all subcontractors performing Work under this Agreement are properly licensed to perform such work. Contractor shall provide District with all reasonable assistance in complying with all applicable federal, state, and local laws and regulations.

21.2. Non-infringement

The Work shall not violate or infringe upon the rights of any third party, including, without limitation, any patent rights, copyright rights, trademark rights, trade secret rights, or other proprietary rights of any kind.

21.3. Authority

Contractor has full power and authority to enter into this Agreement and to perform hereunder, and such entry and performance do not and will not violate any rights of any third party.

21.4 No Claims

There is no action, suit, proceeding, or material claim or investigation pending or threatened against Contractor in any court, or by or before any federal, state, municipal, or other governmental department, commission, board, bureau, agency, or instrumentality, domestic or foreign, or before any arbitrator of any kind, that, if adversely determined, might adversely affect the Work or restrict Contractor's ability to complete the transactions contemplated by this Agreement, or restrict District's right to use the Work. Contractor knows of no basis for any such action, suit, claim, investigation, or proceeding.

21.5 Americans With Disabilities Act (ADA)

Contractor warrants that it complies with California and federal disabilities laws and regulations. (Americans with Disabilities Act of 1990, 42 U.S.C. 12101 et seq). Contractor hereby warrants the products or services it will provide under this Contract comply with the accessibility requirements of Section 508 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794d), and its implementing regulations set forth at Title 36, Code of Federal Regulations, Part 1194. Contractor agrees to promptly respond to and resolve any complaint regarding accessibility of its products or services. Contractor further agrees to indemnify and hold harmless District from any claims arising out of Contractor's failure to comply with the aforesaid requirements. Failure to comply with these requirements shall constitute a material breach of this Contract.

Violation of any provision of this Section 21 shall be a breach of this Agreement subjecting Contractor to default provisions of Section 15, Termination for Default above.

22. INDEMNIFICATION

Notwithstanding any language to the contrary in this Agreement or any exhibit to this Agreement, Contractor shall indemnify District as follows:

22.1. General Indemnity

22.1.1. Contractor shall indemnify, defend and hold harmless the District and its Board Members, administrators, employees, agents, attorneys, and contractors (collectively, "Indemnitees") against all liability, loss, damage and expense (including reasonable attorneys' fees) resulting from or arising out of this Agreement or its performance, where such loss, expense, damage or liability was proximately caused by the negligent or willful act or omission by Contractor, including, without limitation, its agents, employees, subcontractors or anyone employed directly or indirectly by it.

22.1.3. Contractor agrees to defend, indemnify and hold harmless the District from any penalties, damages, taxes, costs, assessments, withholdings or other losses related to any allegation or determination that the District is the employer or joint employer of Contractor's agent, employee or subcontractor.

22.1.4. Contractor agrees to defend, indemnify and hold harmless District, its officers, directors, employees, agents, volunteers, and District's Board of Education from any and all

damages, costs and expenses, including attorneys' fees, resulting from or arising out of any claim of harassment, discrimination or retaliation by or of Contractor's employee, agent or subcontractor.

22.2. Proprietary Rights Indemnity

Contractor shall indemnify, defend and hold harmless District, its officers, directors, and employees, agents from and against any losses suffered by District as a result of Contractor's breach of its warranties set forth in Section 21 of this Agreement. Contractor shall defend, indemnify, and hold harmless District, its officers, directors, employees, agents from and against any claim, demand, challenge, suit, loss, cost, damage, or liability based on any assertion that the Work or any component or part thereof infringes, misappropriates, or violates any patent right, copyright right, trade secret, or other proprietary right of any third party. District shall notify Contractor in writing of the initial claim or action brought against it. The selection of counsel, the conduct of the defense of any lawsuit, and any settlement shall be within Contractor's control; *provided* that District shall have the right to participate in the defense of any such infringement claim using counsel of its choice, at District's expense. No settlement shall be made without notice to, and the prior written consent of, District.

22.3. Insurance

Contractor shall, at his, her, or its sole cost and expense, maintain in full force and effect, during the term of this Agreement, the following insurance coverage from a California licensed and/ or admitted insurer with an A minus (A-), VII, or better rating from A.M. Best, to cover any claims, damages, liabilities, costs and expenses (including legal counsel fees) arising out of or in connection with Contractor's fulfillment of any of its obligations under this Agreement or either party's use of the Work or any component or part thereof:

22.3.1. Commercial General Liability Insurance, including both bodily injury and property damage, with limits as follows:

- \$1,000,000 per occurrence
- \$ 100,000 fire damage
- \$ 5,000 med expenses
- \$1,000,000 personal & adv. Injury
- \$3,000,000 general aggregate
- \$3,000,000 products/completed operations aggregate

22.3.2. Business Auto Liability Insurance for owned, scheduled, non-owned or hired automobiles with a combined single limit of no less than \$1 million per occurrence. If no owned autos, then non-owned/hired coverage can be accepted.

22.3.3. Workers' Compensation and Employers Liability Insurance covering Contractor's full liability under the California Workers' Compensation Insurance and Safety Act and in accordance with applicable state and federal laws.

Part A – Statutory Limits

Part B - \$1,000,000/\$1,000,000/\$1,000,000 Employers Liability

Sole proprietors with no employees are exempt from providing Workers' Compensation and Employers Liability Insurance but must provide a signed Workers' Compensation Statement.

22.3.4 Other coverage(s), when applicable:

Errors & Omissions (Professional Liability) coverage
\$1,000,000 per occurrence/ \$1,000,000 aggregate

Sexual Abuse and Molestation coverage
\$1,000,000 per occurrence/ \$1,000,000 aggregate

Cyber Insurance
\$1,000,000 per occurrence/ \$1,000,000 aggregate

22.3.5 Any deductibles or Self-Insured Retentions (SIR) shall be declared in writing. An SIR or deductible above \$100,000 requires District approval.

22.3.6 Contractor, upon execution of this Agreement and periodically thereafter upon request, shall furnish LAUSD with certificates of insurance evidencing such coverage. The Commercial General and Automobile Liability policies shall name the Los Angeles Unified School District and its Board of Education as additional insureds with respect to any potential tort liability, irrespective of whether such potential liability might be predicated on theories of negligence, strict liability or products liability. The Contractor shall be required to provide LAUSD with 30 days' prior written notice if the insurance afforded by this policy shall be suspended, cancelled, reduced in coverage limits or non-renewed. Premiums on all insurance policies shall be paid by Contractor and shall be deemed included in Contractor's obligations under this Agreement at no additional charge.

23. SECURITY

Notwithstanding any language to the contrary in this Agreement or any exhibit to this Agreement, Contractor agrees that it and its personnel shall at all times comply with all security regulations in effect from time to time at District's premises and shall comply with District's security policies and procedures if granted access to District's computer or communications networks.

24. FINGERPRINTING

The Contractor shall comply with the requirements of California Education Code § 45125.1, and perform the following acts:

24.1. Require all current and subsequent agents and employees of Contractor who may enter a school site during the time that pupils are present to submit their fingerprints in a manner authorized by the California Department of Justice (the "CADOJ").

24.2. Prohibit agents and employees of Contractor from coming into contact with pupils until the CADOJ has ascertained that the individual has not been convicted of a felony as defined in California Education Code § 45122.1.

24.3. Certify in writing, using the District's fingerprinting certification form (available at the District Risk Finance and Insurance Services website) to the District that neither Contractor nor any of Contractor's agents or employees who may enter a school site during the time that pupils are present have been convicted of a felony as defined in California Education Code § 45122.1 and provide such certification to the District Risk Finance and Insurance Services.

24.4. Provide a list of the names of Contractor's agents and employees who may have contact with pupils to the District Risk Finance and Insurance Services. This list shall be updated for Contractor staff changes and shall list agents and employees by appropriate school site.

24.5. The District may require the Contractor and its agents and employees who may have contact with pupils to submit to additional background checks at the District's sole and absolute discretion.

25. TUBERCULOSIS CLEARANCE. Contractor will prohibit any agent or employee of Contractor from entering a District school site until Contractor has submitted to a tuberculosis risk assessment. If tuberculosis testing is warranted, Contractor agent or employee shall not enter a school site until Contractor has received, for that agent or employee, the "certificate" described in California Education Code §49406(d), showing the agent or employee to be free from infectious tuberculosis and dated within the sixty (60) days prior to the agent's or employee's first entry onto a District school site and will require an updated "certificate" every four years thereafter while that employee is continuously employed by Contractor or that agent is continuously retained by, or otherwise represents, Contractor.

26. BUDGET CONTINGENCY

26.1. It is mutually agreed that if the current year budget and/or any subsequent years covered under this Agreement do not appropriate sufficient funds for the services, this Agreement shall be of no further force and effect. In this event, the District shall have no liability to pay any funds to the Contractor or furnish any other considerations under this Agreement, and the Contractor shall not be obligated to perform any provisions of this Agreement.

If funding for any fiscal year is reduced or terminated by the Board of Education for purposes of this Agreement, the District shall have the option to either cancel this Agreement with no liability occurring to the District, or offer an amendment to this Agreement to Contractor to reflect the reduced amount. If funding for any fiscal year is reduced or terminated by the Corporation for National and Community Service for purposes of this Agreement, Contractor shall have the option to either cancel this Agreement for convenience upon 60 days written notice, or offer an amendment to this Agreement to District.

27. SEVERABILITY. If any section, provision or portion of this Agreement is held to be invalid, illegal or void by a court of proper jurisdiction, the remaining sections and provisions of this Agreement shall continue in full force and effect.

28. COMPLIANCE WITH ADDITIONAL FEDERAL REGULATIONS FOR FEDERALLY FUNDED CONTRACTS. Where applicable, this Agreement and performance under this Agreement by the parties shall comply with 2 CFR Part 200—Uniform Administrative Requirements.

29. NOTICES. All notices to be given, payments to be made, or documents, samples, or other materials to be delivered by either Party to the other pursuant to this Agreement will be sent by prepaid first class mail, by electronic mail, by fax, or hand-delivered, to the addresses set forth below. Any such notices, payments, documents, samples, or other materials will be deemed to have been given or delivered forty-eight (48) hours after posting, if sent by first class mail, when received, if sent by electronic mail or fax, or when delivered, if delivered by hand.

To Contractor: Erin Ross
Senior Managing Director, External Affairs
City Year Los Angeles
606 S. Olive Street, 2nd Floor
Los Angeles, CA 90014
Telephone: 213-596-5875
Fax: 213-596-5910
Email: eross@cityyear.org

with a copy to:

Jessica Greenfield
Chief Financial and Administrative Officer
City Year, Inc.
287 Columbus Avenue
Boston, MA 02110
Telephone: +1 (617) 927-2433
Email: jgreenfield@cityyear.org

To LAUSD: Bruce Trenbeth
Contract Administration Manager
Procurement Services Division
333 S. Beaudry Ave., 28th Floor
Los Angeles, CA 90017
Telephone: 213-241-8765
Email: bruce.trenbeth@lausd.net

WORK-BASED LEARNING PARTNERSHIP (WBLP):

Notwithstanding any other provision of this Agreement, Contractor hereby acknowledges that the District has determined to enter into this Agreement with Contractor in reliance, in part, on:

- (A) The veracity of the representations made by Contractor in Contractor's Proposal,
- (B) The quality of Contractor's proposed staff and
- (C) The WBLP Plan included in Contractor's Proposal.

Contractor hereby warrants to provide the Services and the WBLP(s) in the manner represented in Contractor's Proposal.

Furthermore, with respect to Contractor's WBLP, Contractor acknowledges that:

- 1 The District is free to publicize its positive experiences with the Contractor and, if applicable, is also free to share, with other school districts or organizations that inquire, whatever frustrations it may have experienced in Contractor's implementation of Contractor's WBLP(s);
- 2 The District will, of course, share Contractor's name, information regarding Contractor's business and regarding Contractor's proposed WBLP(s) with District schools seeking partners;
- 3 The District will also identify Contractor in District documentation regarding the District's Linked Learning program;
- 4 The District may photograph participating Contractor representatives and publish those photographs in District promotional and reporting materials relating to the District's Linked Learning program; and
- 5 Should Contractor fail to provide the WBLP, in particular, as provided herein, then, in addition to all other remedies to which the District may be entitled, at law and in equity, the District may take Contractor's failure to perform as promised into consideration in the event Contractor is under consideration to provide services to the District in the future.

IN WITNESS HEREOF, THE PARTIES HAVE CAUSED THIS AGREEMENT TO BE DULY EXECUTED.

-DISTRICT-

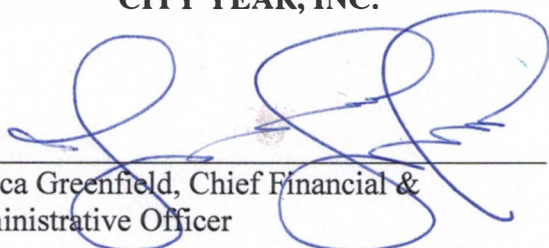
LOS ANGELES UNIFIED SCHOOL DISTRICT

By 
Judith Reece, Chief Procurement Officer

Dated ^{12/17/2020} _____

-CONTRACTOR-

CITY YEAR, INC.

By 
Jessica Greenfield, Chief Financial & Administrative Officer

Fed. I.D. #: 22-2882549

Dated 12/9/20

BOT

EXHIBIT A: Statement of Work

City Year Los Angeles implements our dropout prevention program in Los Angeles Unified School District (LAUSD), which provides academic and positive behavioral supports, and helps students stay in school and on track to graduate. City Year provides academic enrichment and intervention to students by deploying highly trained AmeriCorps members to LAUSD schools to serve as tutors, mentors and role models for students who have demonstrated risk factors that may lead to dropping out. Like LAUSD, our goal is to help students reach graduation, college and career ready. Through our Whole School Whole Child program, we engage students in five key ways:

- (1) Attendance Support
- (2) Behavior Coaching
- (3) Targeted Academic Intervention
- (4) Whole School Events
- (5) Extended Day Programming

Whole School Whole Child

City Year Los Angeles' program plan is to continue the effective execution of our Whole School Whole Child program in LAUSD schools. Through Whole School Whole Child, City Year Los Angeles AmeriCorps members (ACM) provides five core services to LAUSD students: academic support attendance coaching, behavior mentoring, positive school climate services, and extended day programming. City Year AmeriCorps members arrive at their school sites before the first bell rings, support students throughout the day and stay at school until the last student leaves our after-school program. City Year understands that a student's success in school is about more than mastering curricular content; his or her ability to be present engaged, and in control of their learning play an inextricable role in long-term achievement. For that reason, City Year provides a continuum of services to students as detailed below.

Academic Support: AmeriCorps members provide students with differentiated one-on-one and small group academic supports. Though we predominantly focus on English and math, AmeriCorps members also support social studies and science classrooms, among other subjects, in acknowledgement of the ways in which English and math are fundamentally integrated within all ongoing learning.

Attendance Coaching: City Year focuses on helping chronically absent students get to school every day, on-time, ready to learn. This work includes creating a welcoming learning environment that encourages students to attend school, as well as working with students individually to set goals, monitor progress, and devise a strategy for improvement. We also contact students' families when they're absent and provide attendance incentives to encourage positive change, such as earned participation in school dances or pizza parties.

Behavior Mentoring: AmeriCorps members work with teachers and administrators to identify the students who would benefit most from targeted social-emotional supports and provide "near-peer" mentorship to these students throughout the year. AmeriCorps members meet with students consistently, helping them to develop critical behavioral skills that will successfully carry them through school, work, and life. Areas of focus include goal-directed behavior, teamwork, and optimistic thinking.

Positive School Climate: City Year AmeriCorps members work to create a positive culture at each of our partner schools. We believe that each child deserves to feel seen, connected, and cared for. Initiatives include school-wide academic enrichment events, enthusiastic morning greetings, and culture-building activities during lunch and between classes.

Extended Day Programing: AmeriCorps members continue their work in the extended day space, building on students' academic progress with supplementary tutoring time. Additionally, our extended day programming incorporates enrichment and activities developed based on the individual needs of each school and student community.

Tiered Approach Prevention

Through City Year Los Angeles' Whole School Whole Child program, we deploy AmeriCorps members (ACMs) to serve as tutors, mentors, and role models to support LAUSD students. We use a Response to Intervention (RTI) model and provide two tiers of support in each of our three areas of focused intervention: academics, behavior, and attendance. City Year does not offer Tier 3 support as it requires additional specialized training that our AmeriCorps members do not receive.

Academics - Tier 2 Support: Working in partnership with teachers and school leaders, City Year uses previous year data, assessments and observation to identify a caseload- or "focus list"- of students that would benefit from targeted academic intervention. These math and literacy/ELA interventions are focused on helping students build the prerequisite skills needed to succeed on grade level and support students in effectively completing grade level assignments.

Focus lists are finalized in October with six students selected to receive literacy tutoring and five selected for math tutoring. Throughout the course of the school year, each focus list student receives a minimum of 15 hours of targeted intervention one-on-one or in small groups. Tutoring sessions typically occur during class time with students working with the AmeriCorps member in another room (i.e. library or City Year room) or in a station or back of the classroom. Literacy tutoring typically includes differentiated session plans developed by City Year built on classroom content using the teacher's objective. Math tutoring typically includes math fluency activities (i.e. computational fluency, operations), numbers, operations and algebraic thinking skills identified by a students' results on the HMH Math Inventory, and classroom content using the teacher's objective.

Academics - Tier 1 Support: Throughout each school day, ACMs provide whole class Tier 1 Academic support when they are not providing Tier 2 Interventions or doing preparatory work. Tier 1 Academic support can occur in any academic classroom. Common activities during this time include circulating to support student redirection, asking or answering questions to keep students on task or improve understanding, modeling positive behaviors and short periods of academic tutoring. The most common model is for ACMs to follow a cohort of students throughout the school day (in schools where cohorts of students stay together) so that AmeriCorps members have strong relationships with all students, not just those on their focus lists.

Social Emotional Development (Behavior & Attendance) - Tier 2 Support: Tier 2 Social Emotional Development (SED) interventions are focused on attendance and behavior. Each ACM will provide attendance and behavior coaching and mentoring to a focus list of five students, checking in with students regularly to support improved outcomes in these areas. Activities include mentoring conversations and goal setting with these students.

City Year uses the Clover Model, a framework developed by Dr. Gil Noam of the PEAR Institute: Partnerships in Education and Resilience (affiliated with McClean Hospital and Harvard Medical School). The Clover model highlights four essential elements, or "leaves" that people of all ages need to thrive, learn and grow: Active Engagement; Assertiveness; Belonging; and Reflection. The Clover framework provides a common language and fosters an environment for both students and adults to talk about student development, strengths and needs. The model empowers adults to form positive relationships with students, implement a range of youth development practices throughout the school day, and foster students' social-emotional development.

SEL focus list student selections are made by ACMs in conjunction with a partner teacher and/or administrator recommendations. Selection should be informed by data including a prior year Average Daily Attendance Rate (ADA) and an initial administration of the Devereux Student Strengths Assessment (DESSA), a standardized, norm-referenced behavior rating scale that assesses the social-emotional competencies that serve as protective factors for children.

Social Emotional Development (Behavior & Attendance) - Tier 1 Support: City Year seeks to support regular school attendance while accelerating the development of capable, committed learners who are school-connected and community-minded by providing recognition programs for the entire school body and classrooms (Tier 1 supports). Teams may provide Tier 1 attendance supports including attendance recognition and appreciation programs in conjunction with the school partner, phone calls home for absent students, and morning greeting to enhance positive school climate and promote on-time arrival.

In addition, City Year seeks to support school partners in creating a safe and nurturing school environment and provide opportunities for students to develop social emotional learning skills to increase the number of pro-social behaviors exhibited within the school community. We achieve this through school wide supports such as Morning Greeting where all students are welcomed to campus at the start of the day. Teams may provide Tier 1 behavior supports such

as community service projects, talent shows, and leading campus-wide initiatives around anti-bullying, kindness, and more.

Early Intervention

City Year Los Angeles serves students beginning in third grade, as key research shows that students can begin to exhibit risk factors tied to dropping out at that time. We aim to serve in schools for multiple years so that we provide a "continuum of care" and students receive support during key transition years of their educational experience, especially in third through ninth grades, where research shows students are most likely to fall off track. Our research shows that students benefit from our services when they receive them year over year and we therefore prioritize providing services in the same schools and in feeder patterns of schools whenever possible. It is our goal to help keep students on track to graduation by intervening, early, effectively, and consistently.

Improve Grades

City Year's targeted academic intervention is focused on helping identify which key fundamentals students are missing in order to succeed in their classes and achieve passing grades. Once these fundamentals are identified, AmeriCorps members create individualized "planned interventions" to help students understand and master concepts that are essential building blocks for their ongoing advancement, such as understanding fractions before multiplying fractions. Additionally, AmeriCorps members offer academic coaching which helps students develop skills that can contribute to better grades including on-time homework completion and submission, organizing your backpack and notes to not lose assignments or key information for a test and self-advocacy with teachers for extra credit opportunities.

Improve Behavior

City Year Los Angeles is deeply invested in developing students' social emotional skills, which has a notable positive impact on overall student behavior. We use the Devereux Student Strengths Assessment (DESSA) to guide our work in this area, developing student skills on eight key social emotional areas: Personal Responsibility, Decision-Making, Optimistic Thinking, Relationship Skills, Goal-Directed Behavior, Self-Awareness, Social-Awareness, and Self-Management. City Year AmeriCorps members meet regularly with students to provide differentiated coaching, leveraging their closeness in age (our AmeriCorps members are between the ages of 17-25, and uniquely poised to relate to students while also preserving adult authority) to meaningfully set goals, oversee progress, and create spaces for positive reinforcement.

Extended Day/Afterschool Programming

City Year implements Extended Learning Time after school every Monday-Thursday. Our Extended Learning Time program seeks to do the following:

- Provide safe and structured activities, academic support, and opportunities for youth to build relationships in the afterschool space;
- Support students in developing the knowledge, skills, and values that promote their ability to be active learners and civic leaders;
- Cultivate student voice and galvanize their capacity to support positive community

- change; and
- Engage students in unique experiences and programming that spark interest, curiosity and joy.

The most commonly provided programs that occur after school are homework help, tutoring, mentoring, and enrichment activities relating to leadership, arts, recreation, academics and social justice. City Year's extended learning program is open to all students on campus, although we actively recruit our focus list students to participate in order to maximize time spent receiving additional support.

Provide an On-Site Comprehensive Dropout Prevention Program

We recognize that students need to both "catch up" and "keep up" with classroom content and our Whole School Whole Child program meets both needs. Our curriculum is based around the ACMs' focus on providing both Tier 1 and 2 supports to students.

Tier 1 support occurs through whole-class academic support and whole school events. Tier 2 support is a targeted intervention approach focused on core subjects and individualized to fit the needs of Focus List students. ACMs also support teacher-directed instruction including foundational and new skills development aligned to Common Core State Standards (CCSS). City Year Los Angeles supports students in 3rd -10th grade, and our curriculum varies based on the age, grade level, and developmental stage of each students we serve. Examples of strategies used, and skills addressed in each of our areas of intervention are detailed below.

English/Language Arts (ELA)

Example strategies used:

- Reinforcement of school-specific strategies
- Question, Answer, Response
- Cluck & Clunk
- Get the Gist
- Graphic organizers
- Guided reading frameworks

Skills addressed:

- Reading fluency
- Comprehension
- Inference and synthesis
- Connections (text, self, world)
- Text visualization

Math

Example strategies used:

- Reinforcement of school-specific strategies
- Stretch It
- Right is Right

- Normalize Error

Skills addressed:

- Arithmetic fluency
- Algebraic functions
- Fraction

Behavior

Example strategies used:

- Reinforcement of school-specific strategies
- Creation of goals attainable & reasonable to students; rooted in DESSA competencies
- Accountability and scaffolding of goals provided weekly via Check-In/Check-Out process

Competencies addressed (as defined by the Devereux Student Strengths Assessment (DESSA):

- Personal Responsibility
- Decision-Making
- Optimistic Thinking
- Relationship Skills
- Goal-Directed Behavior
- Self-Awareness
- Social-Awareness
- Self-Management

Attendance

Example strategies used:

- Reinforcement of school-specific strategies
- Creation of goals attainable & reasonable to students; rooted in DESSA competencies
- Accountability and scaffolding of goals provided weekly via Check-In/Check-Out process

Virtual Course Performance Services- ELA and Math

City Year Los Angeles has developed a variety of options to implement our course performance interventions virtually or as hybrid blended learning options. Our main priorities are to support teachers in their virtual settings, help create differentiation in the virtual and in-person environments and support the potentially widening skill gaps of our students. Our approach and some key strategies for virtual course performance support are detailed below.

Level 1: Getting started

- Respond to Questions in Zoom Chat - Responds to questions from students that arise in the chat feature
- Ask Follow-Up Questions in Zoom Chat - In coordination with the Partner Teacher (PT), ACM asks follow-up questions using the chat feature after teacher's initial question to push student thinking and increase participation
- Provide Definitions of Key Concepts/Vocabulary in Zoom Chat - During PT's lesson, when appropriate, ACM can provide definitions in the chat feature for key concepts/vocabulary that are integral to understanding the topic of instruction
- Follow-Up E-mail to PT - Based on student questions and responses in Zoom Chat, ACM shares trends and specific learning needs with PT in follow-up e-mail
- Student Engagement Support - Support teacher in identifying "raised-hands," praising students for positive participation and sustained engagement/attention, implementing the "mute" feature when necessary, and reinforcing virtual classroom norms
- Observing for Academic Strengths and Challenges - Using both the chat feature and student verbal participation, ACM should be recording observations to inform Math and ELA Focus List Selection

Level 2: Developing

- Lead Small Groups in Break-Out Spaces in Zoom around Class Assignment - In collaboration with PT, ACM works with small groups of students on classwork in Zoom break-out spaces; later shares student progress with PT
- Lead Whole-Class Warm-Up as Chosen by PT - In collaboration with PT, ACM delivers a warm-up activity for the whole class that has been selected by the PT or comes directly from the day's lesson
- Ask Follow-Up Questions in Zoom Chat Targeting Focus List Students - In coordination with PT, ACM asks follow-up questions using the chat feature after teacher's initial question to push student thinking and increase participation
- Targeted Student Engagement Support for Focus List Students - ACMs encourage FLSs to raise hands/participate, praise students for positive participation and sustained engagement/attention, reinforce virtual classroom norms when necessary
- Provide Additional Examples of Class Content for Focus List Students - ACMs can follow a PT's example to model additional examples or connect to past content using the chat feature for FLS
- Deliver Small-Group or 1:1 Academic Coaching around Class Content to Focus List Students - Specifically targeting FLSs, ACMs provide Academic Coaching support around grade-level class content to small groups of students or 1:1 in Zoom break-out spaces
- Host Virtual Clubs, Homework Help or Other Enrichment - In collaboration with Admin and PTs, ACMs would recruit students and facilitate guardian consent for student participation in recurring, "after-school" academic Zoom meetings; ACMs would be responsible for the design and creation of the content and

climate of these Math or ELA-focused spaces

- Classroom Support - As in previous years, ACM provides academic coaching support with social distancing measures in mind

Level 3: Pursuing Excellence

- Deliver Planned Interventions in Zoom Break-Out Spaces - In collaboration with PT, ACM creates and delivers intervention focused on a skill gap with one or a small group of FL students
- Push-In or Pull-Out - ACM works 1:1 or with a small group of students, informed by their individual learning needs and interests, either on classroom content (academic coaching) or around a skill gap (planned interventions)

Virtual Social Emotional Development Services - Attendance and Behavior

City Year Los Angeles also intends to offer a variety of hybrid options to our partners as part of our attendance and behavior services. Partners will have the opportunity to identify what they are looking for in Social Emotional Development (SED) virtual services. Our main priorities are to help support a positive school culture while socially distanced, helping improve school attendance after a long period out of the physical school space, and supporting our students' social emotional growth in what may feel like new settings to them. Our approach for virtual services in attendance and behavior are detailed below.

Level 1: Getting Started

- Power Greeting - Live or pre-recorded morning power greeting bringing some joy and belonging to the virtual space
- Celebrations - ACMs identify or support school with whole school celebrations such as "x numbers of days at school," spirit day/s, student spotlights on FERPA compliant social media platforms
- Phone Calls Home - With permission from school, ACMs could call home to for students who are absent
- Observing for (or lack of) Growth Mindset/Optimistic Thinking - ACMs observe/monitor some of these characteristics to share with partner teacher and inform their planning/lessons etc.

Level 2: Developing

- Ask Follow-Up Questions in Zoom Chat - In coordination with PT, ACM asks follow-up questions using the chat feature after teacher's initial question to push student thinking and increase participation
- SED Check ins and Goal Setting - Weekly check in, pulse check on how things are going, plus goal setting related to a DESSA competency
- Lunchtime & recess hangouts - ACMs will support during lunch and recess with hosting activities or checking in with students

Level 3: Pursuing Excellence

- Virtual Lunch time/recess hangouts - Space for students to eat a snack/lunch together, maybe play some music, build trust and belonging in the same way it would have happened on a school campus at the lunch benches or in City Year room

Evaluation

As a rigorously data-driven organization, City Year Los Angeles uses student-level data to ensure we are reaching the students who need us the most and providing them with targeted, individualized services. We employ a full-time Data Analytics Team that is responsible for aggregating and analyzing our impact and is capable of performing highly complex data manipulation which allows City Year the in-house capacity to determine which students need our help the most and provide real time analysis of progress that helps us deliver the most appropriate interventions to our students.

We measure academic impact using the Houghton Mifflin Harcourt (HMH) Inventories. These assessments measure a student's capability in a subject at the beginning of the year and uses this data to generate an individualized growth goal for that student. Growth expectation is the average amount a student at a particular level is expected to improve academically through the course of the year. We measure our impact based on whether a student meets or exceeds this expected growth goal on the HMH Inventory exam. Students take the Inventories in the fall, winter and spring.

As part of our service agreement with the district, we monitor student grades and attendance through our access to LAUSD's data system MiSiS and MyData. Academic data is used to determine the students selected for our focus lists. We then isolate the specific skills students need help with, ensuring our interventions remain targeted and individualized.

At the schoolhouse level we track student interventions, attendance, grades, assessments and behavior in real time to help inform the service our AmeriCorps members provide to students. Our extensive data agreement with our district partners gives us access to the Student Information System for every school we serve. We use this data along with the data we collect throughout the school year through academic and social emotional student inventories to consistently provide our students with support in the areas they would most benefit from during the school year. Rather than assuming what a student might need we are able to maintain targeted interventions while also tracking the students' progress to ensure that our approach is working.

We also gather feedback from our partner teachers and principals to gauge our impact on students via a survey sent out at the midpoint and end of the year. Through the surveys, teachers and principals assess their overall satisfaction with City Year and evaluate the impact of our service on students, staff and school culture.

In order to ensure we are constantly evaluating our effectiveness at the school-wide level, we are committed to ensuring the following at each of our partner schools:

- A City Year staff member will participate as a member of school's leadership team, as appropriate.

- City Year AmeriCorps Members and staff members will participate in teacher team meetings, including subject area and cross-subject area teams.
- City Year team will participate in regular coordinated data review meetings with school staff (e.g. Early Warning Indicator meetings).
- City Year staff will meet with the school's leadership team to conduct a formal partnership review at least twice per year.
- City Year staff will meet with a school partner liaison to discuss progress against goals at least bi-monthly.
- City Year AmeriCorps Members will meet with their partner teacher(s) weekly to discuss student progress, review intervention session plans, and plan for collaborative classroom support.

City Year Los Angeles is also committed to our findings and program data. As part of our data sharing agreement with the district, we commit to:

- Periodically review student progress in coordination with representatives from each school partner's student support team (or reasonable proxy) and make decisions regarding student participation in the partnership's targeted interventions;
- Complete periodic reports on behalf of the partnership to City Year's stakeholders, including the school district and AmeriCorps;
- Share evaluation reports from evaluations commissioned by City Year;
- Track key output data related to City Year's core services;
- Provide a primary data collection liaison/data coordinator to LAUSD to ensure that the school and/or the school district provide all necessary student-level data in a timely basis, in accordance with district policies and procedures;
- Help facilitate the completion of surveys and report outcome data in a timely manner to help facilitate internal or external reporting on City Year's impact; and
- Facilitate and/or support the collection of student-level data.

City Year is committed to establishing conditions for success with each of our partner schools to ensure the effective execution of our dropout prevention services. These conditions for success include:

- The City Year team will attend summer training and on-going professional development opportunities. School partners provide training for AmeriCorps Members on the school's core curriculum in ELA and Math, as well as training on any supplemental programs used for student intervention.
- School partners provide an orientation to the any/all school climate and student engagement initiatives, social emotional learning programs, student behavior policies, student support referral systems, attendance support initiatives, and attendance policies.
- School partners introduce the AmeriCorps Members to any outside afterschool

program providers and/or orient them to afterschool program curricula.

- In partnership with City Year, school partners design and implement an orientation for the school staff and the City Year team. City Year participates in the school's orientation for faculty and staff during which the City Year team hosts an orientation for the partner school's faculty and staff to introduce the teachers and school staff to the City Year AmeriCorps Members, build team and share a plan for the year. School partners commit to introducing the AmeriCorps Members to the school's vision and basic operations.
- School partners inform the City Year team of the school emergency/safety plan policy, mandated reporting procedures and any information relevant to their interactions with students and staff.

Monitoring Success

- A City Year staff member will participate as a member of school's leadership team, as appropriate.
- City Year AmeriCorps and staff members will participate in teacher team meetings, including subject area and cross-subject area teams.
- City Year staff will meet with the school's leadership team to conduct a formal partnership review at least twice per year.
- City Year staff will meet with a school partner liaison to discuss progress against goals at least bi-weekly.
- City Year AmeriCorps members will meet with their partner teacher(s) at least bi-weekly to discuss student progress, review intervention session plans, and plan for collaborative classroom support.

Preparation and Training

In order to launch the partnership successfully and prepare City Year AmeriCorps members to be an integrated part of the school's instructional program:

- The City Year team will participate in summer training and on-going professional development opportunities, which will be discussed and scheduled by the school liaison and City Year staff. The School Partner will provide training for AmeriCorps members on the school's core curriculum in ELA and Math, as well as training on any supplemental programs used for student intervention.
- The School Partner will provide an orientation to the any/all of the school's climate and student engagement initiatives, socio-emotional learning programs, student behavior policies, student support referral systems, attendance support initiatives, and attendance policies. This will happen virtually if necessary.
- The School Partner will introduce the AmeriCorps members to any outside afterschool program providers and/or orient them to afterschool program curricula. This will happen virtually if necessary.
- The School Partner and City Year will design and implement an orientation for the school staff and the City Year team. City Year will participate in the school's orientation for faculty and staff during which the City Year team will host an orientation for the School Partner faculty and staff to introduce the teachers and school staff to the City Year AmeriCorps members, build team and share a plan for the year. The School Partner will introduce the AmeriCorps members to the school's vision and basic operations. This will happen virtually if necessary.

- The School Partner will inform the City Year team of the school emergency/safety plan policy, mandated reporting procedures and any information relevant to their interactions with students and staff. This will happen in person if necessary.

Material Support

School agrees to provide the City Year Team with:

- Consistent tutoring space, which includes tables or desks and chairs, that is reasonably free of distraction.
- Dedicated closed-door planning and meeting space including a secure storage space for personal belongings and service-related materials.
- Dedicated space to lead after-school/enrichment activities.
- Regular access to an adequate number of computers, internet and telephones that have external access capabilities, following the school's established protocols.
- Access to copier and office supplies as necessary to support the team.
- Access to service-related supplies and materials, including text and library books, teacher guides, curriculum support materials, intervention program materials, test prep materials, worksheets, hands-on materials, etc.
- Bulletin board(s) to communicate City Year programs and/or impact data.

Addendum to EXHIBIT A: Statement of Work in Response to COVID-19

The following is intended to provide interim guidance on service delivery recognizing that pandemic community transmission conditions may shift over time.

City Year Sites, with support from City Year National Head Quarters, will collaborate with local communities to provide schools, students and AmeriCorps members throughout this uniquely challenging time. City Year will collaborate with schools/districts, to deploy the Whole School, Whole Child services with adjustments that reflect the schools' status in the context of the local public health situation. In this context, City Year will emphasize the importance of safety, relationships, connection to learning, and the value of community and belonging. During times of uncertainty and anxiety these are the foundations upon which young people can continue to develop, grow and learn, especially in the face of adversity.

The parties acknowledge provisions of the Coronavirus Aid, Relief, and Economic Security Act (CARES Act) which encourages payment to contractors during the period of any coronavirus-related disruptions or closures "to the greatest extent practicable."

Virtual Learning Guidelines

- In compliance with the provisions of the Family Educational Rights and Privacy Act (FERPA), the services City Year provides have always been in coordination with and under the supervision of schools and districts, including administration of after-school programs in those schools. While the means of delivering these services may change with "virtual learning," City Year will continue to coordinate with our school/district partners, and complement the learning environments they create, as we would if schools were open for in person learning. To that end Districts/schools will lead in convening all virtual learning spaces for students: City Year and AmeriCorps Members, in collaboration with schools, will support but cannot lead virtual efforts with students, including enrichment activities that might take the place of after-school programming.
- City Year will collaborate with schools to address information and data security issues, including any restrictions related to use of online platforms, communication with students, and record handling and retention of personally protected information. Relevant restrictions may include, without limitation: (i) handling of email addresses or other communication for students which require special protection, or (ii) access to data for students not on our focus lists that we wouldn't typically have access to. Virtual service with students is encouraged if a school or district sets up an online learning space where our AmeriCorps members may log onto the platform to interact with students and that learning space also includes a school or district staff member

In-Person Gatherings:

- In transitioning from virtual learning to "in person gatherings," the parties agree to collaborate on a mutually agreeable timetable for such transition and safety conditions, guidelines and procedures for re-entry into schools. In doing so, City and the schools will rely upon relevant laws governing health and safety, including without limitation, guidance issued by OSHA, CDC, and local public health and safety agencies and authorities
- Once the parties have mutually agreed to the timeline for transition from virtual learning to in person gatherings, and relevant safety conditions, guidelines and procedures, City Year will provide its staff and AmeriCorps members with the necessary supplies to follow cleaning guidelines in designated City Year spaces in schools.

- If the parties are unable to reach agreement, the parties should engage in good faith discussion to modify the terms and provisions of the Agreement as set forth in Section 9 - Excused Performance; Force Majeure Event.
- If an AmeriCorps member is in self-isolation during a period of in-person gatherings and service and feels able to participate, the manager will work with the school and corps member to determine if there is any appropriate way for the AmeriCorps member to continue to support students virtually during this time.
- If an AmeriCorps member is in self-isolation during a period of shelter-in-place and feels able to continue supporting distance learning with students, they may continue this service under the teacher's guidance and manager's supervision; and likewise, for City Year's learning and development activities with their manager, team and corps.

EXHIBIT B: AmeriCorps List of Various Non-Allowable Activities

Prohibited Activities (See 45 CFR § 2520.65)

While charging time to the AmeriCorps program, accumulating service or training hours, or otherwise performing activities supported by the AmeriCorps program or the Corporation for National and Community Service (“CNCS”), staff and members may not engage in the following activities:

1. Attempting to influence legislation;
2. Organizing or engaging in protests, petitions, boycotts, or strikes;
3. Assisting, promoting, or deterring union organizing;
4. Impairing existing contracts for services or collective bargaining agreements;
5. Engaging in partisan political activities, or other activities designed to influence the outcome of an election to any public office;
6. Participating in, or endorsing, events or activities that are likely to include advocacy for or against political parties, political platforms, political candidates, proposed legislation, or elected officials;
7. Engaging in religious instruction, conducting worship services, providing instruction as part of a program that includes mandatory religious instruction or worship, constructing or operating facilities devoted to religious instruction or worship, maintaining facilities primarily or inherently devoted to religious instruction or worship, or engaging in any form of religious proselytization;
8. Providing a direct benefit to—
 - a. A business organized for profit;
 - b. A labor union;
 - c. A partisan political organization;
 - d. A nonprofit organization that fails to comply with the restrictions contained in section 501(c)(3) of the Internal Revenue Code of 1986 related to engaging in political activities or substantial amount of lobbying except that nothing in these provisions shall be construed to prevent participants from engaging in advocacy activities undertaken at their own initiative; and e. An organization engaged in the religious activities described in paragraph 7. above, unless CNCS assistance is not used to support those religious activities;
9. Conducting a voter registration drive or using CNCS funds to conduct a voter registration drive;
10. Providing abortion services or referrals for receipt of such services; and
11. Such other activities as CNCS may prohibit.

AmeriCorps members may not engage in the above activities directly or indirectly by recruiting, training, or managing others for the primary purpose of engaging in one of the activities listed above. Individuals may exercise their rights as private citizens and may participate in the activities listed above on their initiative, on non-AmeriCorps time, and using non-CNCS funds. Individuals should not wear the AmeriCorps logo while engaging in any of the above activities on their personal time. All locations where members serve should post a list of the prohibited activities.

Nonduplication and Nondisplacement (See 45 CFR §§ 2540.100)

E. Nonduplication.

- a. Corporation assistance may not be used to duplicate an activity that is already available in the locality of a program. And, unless the requirements of paragraph of this section are met, Corporation assistance will not be provided to a private nonprofit entity to conduct activities that are the same or substantially equivalent to activities provided by a State or local government agency in which such entity resides.

F. Nondisplacement.

- a. An employer may not displace an employee or position, including partial displacement such as reduction in hours, wages, or employment benefits, as a result of the use by such employer of a participant in a program receiving Corporation assistance.
- b. An organization may not displace a volunteer by using a participant in a program receiving Corporation assistance.
- c. A service opportunity will not be created under this chapter that will infringe in any manner on the promotional opportunity of an employed individual.
- d. A participant in a program receiving Corporation assistance may not perform any services or duties or engage in activities that would otherwise be performed by an employee as part of the assigned duties of such employee.
- e. A participant in any program receiving assistance under this chapter may not perform any services or duties, or engage in activities, that—
 - i. Will supplant the hiring of employed workers; or
 - ii. Are services, duties, or activities with respect to which an individual has recall rights pursuant to a collective bargaining agreement or applicable personnel procedures.
- f. A participant in any program receiving assistance under this chapter may not perform services or duties that have been performed by or were assigned to any—
 - i. Presently employed worker;
 - ii. Employee who recently resigned or was discharged;
 - iii. Employee who is subject to a reduction in force or who has recall rights pursuant to a collective bargaining agreement or applicable personnel procedures;
 - iv. Employee who is on leave (terminal, temporary, vacation, emergency, or sick); or
 - v. Employee who is on strike or who is being locked out.

Restrictions on fundraising by members (See 45 CFR §§ 2520.40-.45)

- a. AmeriCorps members may raise resources directly in support of your program's service activities.
- b. Examples of fundraising activities AmeriCorps members may perform include, but are not limited to, the following:
 - 1. Seeking donations of books from companies and individuals for a program in which volunteers teach children to read;
 - 2. Writing a grant proposal to a foundation to secure resources to support the training of volunteers;
 - 3. Securing supplies and equipment from the community to enable volunteers to help build houses for low-income individuals;
 - 4. Securing financial resources from the community to assist in launching or expanding a program that provides social services to the members of the community and is delivered, in whole or in part, through the members of a community-based organization;
 - 5. Seeking donations from alumni of the program for specific service projects being performed by current members.
- c. AmeriCorps members may not:
 - 1. Raise funds for living allowances or for an organization's general (as opposed to project) operating expenses or endowment;
Write a grant application to the Corporation or to any other Federal agency.

45 CFR §§ 2520.40. An AmeriCorps member may spend no more than ten percent of his or her originally agreed-upon term of service, as reflected in the member enrollment in the National Service Trust, performing fundraising activities, as described in §2520.40.

EXHIBIT C: Price Schedule

Elementary School

Team Size	Cost
6	\$78,607.20
7	\$87,578.40
8	\$96,549.60
9	\$105,520.80
10	\$114,492.00
11	\$123,463.20

Secondary School with Half-Time Program Manager

Team Size	Cost
4	\$52,479.60
5	\$62,502.00
6	\$72,524.40
7	\$82,546.80
8	\$92,569.20
9	\$102,591.60
10	\$112,614.00
11	\$122,636.40
12	\$132,658.80

Secondary School with Full-Time Program Manager

Team Size	Cost
6	\$81,199.20
7	\$90,602.40
8	\$100,005.60
9	\$109,408.80
10	\$118,812.00
11	\$128,215.20
12	\$137,618.40
13	\$147,021.60
14	\$156,424.80
15	\$165,828.00
16	\$175,231.20

Secondary School in IES Study with Full-Time Program Manager

Team Size	Cost
6	\$60,000.00
7	\$70,000.00
8	\$80,000.00
9	\$90,000.00
10	\$100,000.00
11	\$110,000.00
12	\$120,000.00



LOS ANGELES UNIFIED SCHOOL DISTRICT
Contractor Code of Conduct
Adopted: 11/02; Revised: 11/06

Preamble

Los Angeles Unified School District's Contractor Code of Conduct was adopted to enhance public trust and confidence in the integrity of LAUSD's decision-making process. This Code is premised on three concepts:

- *Ethical and responsible use of scarce public tax dollars is a critical underpinning of effective government*
- *Contracting integrity and quality of service are the shared responsibilities of LAUSD and our Contractors*
- *Proactive and transparent management of potential ethics concerns improves public confidence*

This Code sets forth the ethical standards and requirements that all Contractors and their Representatives shall adhere to in their dealings with or on behalf of LAUSD. Failure to meet these standards could result in sanctions including, but not limited to, voidance of current or future contracts.

1. Contractors

All LAUSD Contractors and their Representatives are expected to conduct any and all business affiliated with LAUSD in an ethical and responsible manner that fosters integrity and public confidence. A "Contractor" is any individual, organization, corporation, sole proprietorship, partnership, nonprofit, joint venture, association, or any combination thereof that is pursuing or conducting business with and/or on behalf of LAUSD, including, without limitation, consultants, suppliers, manufacturers, and any other vendors, bidders or proposers. A Contractor's "Representative" is also broadly defined to include any subcontractors, employees, agents, or anyone else who acts on a Contractor's behalf.

2. Mission Support

LAUSD relies on Contractors and their Representatives to support our LAUSD mission statement of "educating students to a higher level of achievement that will enable them to be responsible individuals and productive members of the greater society." Contractors and their Representatives must provide high-value products, services and expertise which advance LAUSD's mission or provide mission-related benefits that support our goals for the students, employees, stakeholders, and the communities we serve.

3. Ethical Responsibilities

All LAUSD contracts must be developed and maintained within an ethical framework. LAUSD seeks to promote public trust and confidence in our contracting relationships and we expect every individual, regardless of position or level of responsibility, who is associated with an LAUSD procurement process or contract, to commit to exemplifying high standards of conduct in *all phases* of any relationship with LAUSD.

Given that the business practices and actions of Contractors and their Representatives may impact or reflect upon LAUSD, strict observance with the standards in this Code, all applicable local, state and federal laws, and any other governing LAUSD policies or agreements is not only a minimum requirement for all Contractors and their Representatives, but an ethical obligation as well.

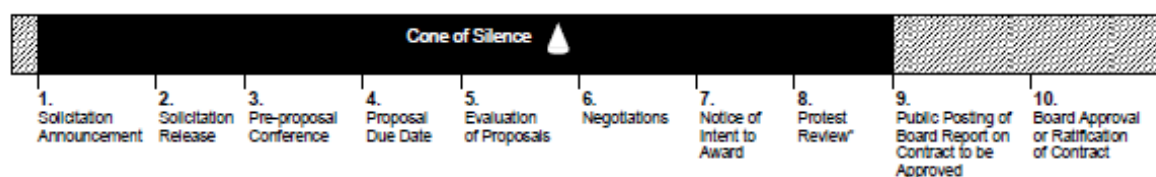
In addition to any specific obligations under a Contractor's agreement with LAUSD, all Contractors and their Representatives shall comply with the following requirements:

- Demonstrate Honesty and Integrity* – Contractors shall adhere to the highest standards of honesty and integrity in all their dealings with and/or on behalf of LAUSD. As a general rule, Contractors must exercise caution and avoid *even the appearance of impropriety or misrepresentation*. All communications, proposals, business information, time records, and any other financial transactions must be provided truthfully, accurately, and completely.
- Be a Responsible Bidder* – Contractors shall demonstrate a record of integrity and business ethics in accordance with all policies, procedures, and requirements established by LAUSD.
 - Critical Factors** – In considering a Contractor's record of integrity and business ethics, LAUSD may consider factors including, but not limited to: criminal investigations, indictments, injunctions, fines, convictions, administrative agreements, suspensions or debarments imposed by other governmental agencies, tax

delinquencies, settlements, financial solvency, past performance, prior determinations of failure to meet integrity-related responsibilities, and violations by the Contractor and its Representatives of any LAUSD policies and Codes in prior procurements and contracts. LAUSD reserves the right to reject any bid, proposal and contract, and to impose other sanctions against Contractors who fail to comply with our district policies and requirements, or who violate the prohibitions set forth below in Section 6, Prohibited Activities.

- C. *Maintain the Cone of Silence* – Contractors shall maintain a Cone of Silence during required times of the contracting process to ensure that the process is shielded from even the appearance of undue influence. Contractors and their Representatives risk disqualification from consideration and/or other penalties outlined in Section 8, Enforcement Provisions, if they engage in prohibited communication during the restricted period(s).
- (1) *Competitive Contracting Process* – To ensure a level playing field with an open and uniform *competitive* contracting process, Contractors and their Representatives must maintain a Cone of Silence from the time when an Invitation for Bid (IFB), Request for Proposal (RFP), Request for Interest and Bid (RFIB), Request for Quote, Request for Qualification, or any other solicitation release is announced until the time a contract award recommendation is made public by the Board Secretariat's posting of the board report for the contract to be approved. During the time under the Cone of Silence, Contractors and their Representatives are prohibited from making any contact on any part of a proposal, negotiation or contract with any LAUSD official as this could appear to be an attempt to curry favor or influence. An "LAUSD official" is broadly defined to include "any board member, employee, consultant or advisory member of LAUSD" who is involved in making recommendations or decisions for LAUSD.

Schematic of LAUSD's Competitive Contracting Process (Illustrative Only)



Contracting Process

Lobbying in this period may require registration and disclosure in LAUSD's Lobbying Disclosure Program, if the triggers are met.

* Note: Protests can sometimes extend past the contract approval process

- (a) *Prohibited Communication* – Examples of prohibited communication by Contractors and their Representatives under the Cone of Silence include, but are not limited to:
- (i) contact of LAUSD Officials, including members of the department initiating a contract, or members who will serve on an evaluation team for any contract information that is not uniformly available to all other bidders, proposers or contractors;
 - (ii) contact of LAUSD Officials, including Board Members and their staff, to lobby on any aspect relating to a contract matter under consideration, negotiation, protest or dispute;
 - (iii) contact of LAUSD Officials in the particular department requesting a competitive contract to discuss other business or partnership opportunities.
- (b) *Exceptions* – The following are exceptions to the Cone of Silence:
- (i) open and uniform communications which are made as part of the procurement process such as the pre-bid or pre-proposal meetings or other exchanges of information which are given to all proposers;
 - (ii) interviews or presentations to evaluation committee members which are part of the procurement process;
 - (iii) clarification requests made in writing, under the terms expressly allowed for in an LAUSD contracting document, to the appropriate designated contract official(s);
 - (iv) negotiations with LAUSD's designated negotiation team members;
 - (v) protests which follow the process outlined by LAUSD's protest policies and procedures; and
 - (vi) requests for technical assistance approved by LAUSD contract officials (for example questions relating to LAUSD's Small Business Enterprise Program, or requests for formal guidance on ethics matters from the Ethics Office).
- (2) *Non-Competitive Contracting Process* – To ensure the integrity of the non-competitive contracting process, Contractors and their Representatives must maintain a Cone of Silence from the time when a proposal is submitted to LAUSD until the time the contract is fully executed. During this designated time, Contractors and their Representatives are prohibited from making any contact with LAUSD officials on any of the terms of the contract under consideration as this could appear to be an attempt to curry improper favor or influence. The only

exceptions to this Cone of Silence are clarification requests made with the Contract Sponsor or the appropriate designated contract official(s) in the Procurement Services Group or Facilities Contracts Branch.

Examples of Maintaining the Cone of Silence

- (3) Mai Vien Da is the CEO of a firm that wants to do business with LAUSD. She is at a party when she sees the head of the LAUSD division that has just issued an RFP that her company is interested in bidding on.

Mai can say "hello," but she must not discuss her proposal or the contracting process at all with the division head.

- (4) Mai is also interested in having her sales team meet with LAUSD officials district-wide to promote her firm's services, so that they can sell work on smaller projects that do not need to be competitively bid.

Mai and her employees may attempt to meet with district officials to discuss potential services outside of a competitive process, but she needs to recognize that her marketing activities may require her to register her firm and her employees in LAUSD's Lobbying Disclosure Program. (See Section 5, Disclosure Obligations).

- D. **Manage Potential Conflicts** – Contractors shall disclose all potential or actual conflicts to LAUSD on an ongoing basis with a Meaningful Conflict Disclosure. A "Meaningful Conflict Disclosure" is a written statement to LAUSD which lays out full, accurate, timely, and understandable information with regard to any potential conflicts involving Contractors and their work for LAUSD. The specific requirements for a Meaningful Conflict Disclosure are set forth in Section 3.D.(2) below. LAUSD relies on these proactive disclosures by Contractors to manage potential conflicts before they become actual conflicts of interest. A potential for conflict is present whenever a situation arises which creates a real or apparent advantage or a competing professional or personal interest for a Contractor. Such situations become conflicts of interest, if appropriate safeguards are not put into place. Examples of potential or actual conflicts include, but are not limited to situations when:

- a financial relationship (income, stocks, ownership, investments, loans, excessive gifts, etc.) or close personal relationship exists or has existed between a Contractor or its Representatives and a LAUSD official;
- a financial or close personal relationship exists between any officers, directors or key employees of a Contractor or its Representatives and a LAUSD official;
- a prior, current or potential employment relationship exists between a Contractor or its Representatives and a current or former LAUSD official;
- an overlap exists between work that a Contractor or its Representative performs or has performed for LAUSD and work he or she will perform on behalf of another client; or
- an opportunity arises in which a Contractor or its Representative can make a governmental decision within the scope of LAUSD contractual duties that impacts his or her personal financial interests or relationships,

Contractors and their Representatives have a *continuing* obligation to advise LAUSD proactively of any potential conflicts which may arise relating to a contract.

- (1) **State Conflict Standards** – LAUSD is generally prohibited by California's Political Reform Act (Government Code Section 87100) and Government Code Section 1090 from contracting with Contractors if the Contractors, their Representatives, their officers, or any household member of the preceding serve LAUSD in any way in developing, awarding, or otherwise participating in the making of the same contract.

California law also governs situations in which there has been a financial interest between a Contractor and a public official within a 12-month window leading up to a governmental decision. It does not matter whether the impact of an existing relationship is beneficial or detrimental to the interests of the Contractors, their Representatives, or the public agency. Moreover, Government Code Section 1090 defines "making a contract" broadly to include actions that are preliminary or preparatory to the selection of a Contractor such as but not limited to: involvement in the reasoning, planning, and/or drafting of scopes of work, making recommendations, soliciting bids and requests for proposals, and/or participating in preliminary discussions or negotiations.

Any contract made in violation of Section 1090 is void and cannot be enforced. When Section 1090 is violated, a government agency is not obligated to pay the Contractor for any goods or services received under the void contract. In fact, the agency can also seek repayment from the Contractor of any amounts already paid and the agency can refer the matter to the appropriate authorities for prosecution.

- (2) **Meaningful Conflict Disclosure** – Contractors shall provide a meaningful disclosure of all potential and actual conflicts in a written statement to the LAUSD Contract Sponsor, the Ethics Office and the contracting contact from the Procurement Services Group/or the Facilities Contracts Branch. This disclosure requirement is a continuing duty on all Contractors. At a minimum, a Meaningful Conflict Disclosure must identify the following:
- (a) names and positions of all relevant individuals or entities;
 - (b) nature of the potential conflict, including specific information about the financial interest or relationship; and
 - (c) a description of the suggested remedy or safeguard for the conflict.

- (3) Resolution of Conflicts – When necessary, LAUSD will advise Contractors on how a disclosed conflict should be managed, mitigated or eliminated. The Contract Sponsor, in consultation with the Procurement Services Group/Facilities Contracts Branch, the Ethics Office, and the Office of the General Counsel, shall determine necessary actions to resolve any of the Contractors' disclosed conflict(s). When it is determined that a conflict must be addressed, a written notification will be made to the Contractor, indicating the actions that the Contractor and LAUSD will need to take to resolve the conflict.

Examples of Managing Potential Conflicts

- (4) Rhoda Warrior is a consultant from Global Consulting Firm. She has been assigned by her firm to do work for a particular LAUSD department. Although she does not directly work with him, her husband, Antonio, is one of the senior officials in that department.

Global Consulting must disclose this potential problem via a Meaningful Conflict Disclosure to LAUSD. Depending on the exact nature of her work within that department, Global Consulting and the LAUSD Contract Sponsor may need to take steps to safeguard Rhoda's work from any actual conflict of interest.

- (5) Amartya Singh is a HR consultant from the Tip Top Talent Agency whose firm is providing temporary support to help LAUSD improve its recruitment efforts. Amartya is himself serving as acting deputy director for the HR division, and in that capacity has been asked to review and approve all bills for the department. In doing his work, Amartya comes across a bill for the Tip Top Talent Agency which requires approval.

Tip Top Talent Agency must disclose the conflict and work with LAUSD to ensure that someone more senior or external to Amartya's chain-of-command is the one that reviews, evaluates, or approves bills relating to Tip Top Talent Agency. Even if Amartya decides to quit Tip Top Talent to join LAUSD, he cannot be involved with matters relating to Tip Top Talent until 12 months have passed from the date he received his last payment from the firm.

- (6) Greta Planner is a technology consultant that has been hired to design all the specifications for a group of new technology labs. One of the services that Greta will be specifying is an automated wireless projection system. As it turns out, Greta owns direct stock in a firm that manufactures these types of projection systems.

Greta's direct stock ownership constitutes a financial interest in that company. She must disclose the potential conflict right away in writing to the LAUSD Contract Sponsor, so that the appropriate safeguards can be put in place to prevent any actual conflict.

- E. *Provide Contracting Excellence* – Contractors are expected to deliver high quality, innovative and cost-effective goods and services to LAUSD, so that the public is served with the best value for its dollars.
- F. *Promote Ethics Standards* – Contractors shall be responsible for ensuring that their Representatives, regardless of position, understand and comply with the duties and requirements outlined in this Code and to ensure that their behavior, decisions, and actions demonstrate the letter and spirit of this Code. Contractors may draw upon the resources provided by LAUSD, including but not limited to those made available by the Ethics Office, the Procurement Services Group, and the Facilities Contracts Branch. Such training resources and additional information about LAUSD policies can be found on LAUSD's website (www.lausd.net).
- G. *Seek Advice* – Contractors are expected and encouraged to ask questions and seek formal guidance regarding this Code or other aspects of responsible business conduct from the LAUSD Ethics Office whenever there is a doubt about how to proceed in an ethical manner. A Contractor's proactive management of potential ethics concerns is necessary and vital since this Code does not seek to address or anticipate all the issues that may arise in the course of seeking or doing business with LAUSD.

Example of Seeking Advice

- (1) Abe Iznismann is President of Accelerated Sciences, a new company that makes supplemental teaching tools in the sciences. Over the summer, Abe hired Grace Principle, a seasoned LAUSD administrator who now works in teacher recruitment, to consult with Accelerated Sciences in developing a cutting-edge learning tool. Originally, the company planned to sell the products only to schools in other states, but now it wants to sell the products in California and possibly to LAUSD. Abe wants to work with Grace to develop a win-win strategy for offering the new tools to LAUSD at a discount.

Accelerated Sciences needs to be very careful to ensure that Grace is not involved in any aspect relating to selling the product to LAUSD, especially since Grace has a financial interest with the firm. Remember, under California law, the mere existence of a financial interest creates a concern that will cause the good faith of any acts to be questioned, no matter how conscientious the individuals. Before undertaking any effort to sell to LAUSD, Abe or another manager at Accelerated Sciences should seek out advice on other safeguarding measures to ensure that their good intentions do not inadvertently create a bad outcome for the firm or Grace.

4. Relationship Management

LAUSD expects Contractors and their Representatives to ensure that their business dealings with and/or on behalf of LAUSD are conducted in a manner that is above reproach.

- A. *Employ Good Practices* – Contractors and their Representatives shall conduct their employment and business practices in full compliance with all applicable laws, regulations and LAUSD policies, including but not limited to the following:
- (1) *Equal Employment Opportunity* – Contractors shall ensure that there is no discrimination in hiring due to race, color, religious creed, national origin, ancestry, marital status, gender, sexual orientation, age, or disability.
 - (2) *Health and Safety* – Contractors shall provide a safe and healthy work environment and fully comply with all applicable safety and health laws, regulations, and practices.
 - (3) *Drug Free Environment* – Contractors shall ensure that there is no manufacture, sale, distribution, possession or use of illegal drugs or alcohol on LAUSD-owned or leased property.
 - (4) *No Harassment* – Contractors shall not engage in any sexual or other harassment, physical or verbal abuse, or any other form of intimidation.
 - (5) *Sweat-Free Conditions* – Contractors shall ensure that no child and/or forced or indentured labor is used in their supply chain. Contractors shall require that all goods provided to LAUSD are made in compliance with the governing health, safety and labor laws of the countries of origin. Additionally, Contractors shall ensure that workers are free from undue risk of physical harm or exploitation and receive a non-poverty wage.
- B. *Use Resources Responsibly* – Contractors and their Representatives shall use LAUSD assets for LAUSD business-related purposes only unless given written permission for a specific exception by an authorized LAUSD official. LAUSD assets include: time, property, supplies, services, consumables, equipment, technology, intellectual property, and information.
- C. *Protect Confidentiality* – Contractors and their Representatives shall protect and maintain confidentiality of the work and services they provide to LAUSD. All communications and information obtained in the course of seeking or performing work for LAUSD should be considered confidential. No confidential information relating to LAUSD should ever be disclosed without express authorization by LAUSD in writing, unless otherwise legally mandated.
- D. *Guard the LAUSD Affiliation* – Contractors and their Representatives shall be cautious of how they portray their relationship with LAUSD to the Public. Communications on behalf of LAUSD can only be made when there is express written permission by an LAUSD official authorized by LAUSD's Office of General Counsel.
- (1) *LAUSD Name and Marks* – Contractors shall ensure that all statements, illustrations or other materials using or referencing LAUSD or its marks and logos—including the names and logos of any of our sub-divisions, and/or any logos created by and for LAUSD—receive advance review and written approval of the relevant LAUSD division head prior to release or use.
 - (2) *Commercial or Advertising Message* – Contractors shall ensure that no commercial or advertising message, or any other endorsements—express or implied—are suggested or incorporated in any products, services, enterprises or materials developed for/or relating to LAUSD unless given written permission to do otherwise by LAUSD's Board of Education.
- E. *Respect Gift Limits* – Contractors and their Representatives shall abide by LAUSD's gift limits and use good judgment, discretion and moderation when offering gifts, meals or entertainment or other business courtesies to LAUSD officials, so that they do not place LAUSD officials in conflict with any specific gift restrictions:
- (1) No Contractor or their Representative shall offer, give, or promise to offer or give, directly or indirectly, any money, gift or gratuity to any LAUSD procurement official at any time.
 - (2) No Contractor or their Representative shall offer or give, directly or indirectly, any gifts in a calendar year to an LAUSD Official which exceed LAUSD's allowable gift limit.

Example of Respecting Gift Limits

- (3) It's the holidays and Sue Tienda, a Contractor, wants to take a few LAUSD officials out to lunch and to provide them with gift baskets as a token of thanks for the work they have done together.

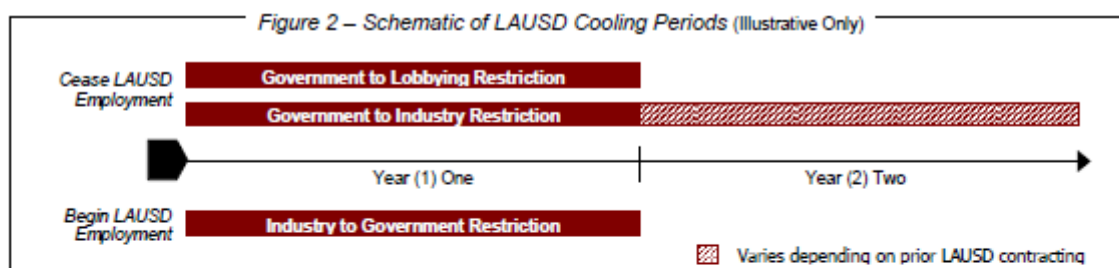
Assuming Sue is not attempting to take out any procurement officials (since they observe a zero tolerance policy on gifts), Sue needs to respect the Board-established gift limit for LAUSD officials. Sue should also be aware that giving a gift totaling over \$50 in a year to LAUSD officials will create a reporting responsibility for the officials, if

they are designated Form 700 Statement of Economic Interest filers. Additionally, if there is a procurement underway involving Sue or her firm, she should not give gifts to the LAUSD officials who are part of the evaluation process until the contract is awarded. Finally, Sue may also want to keep in mind that a nice personalized thank-you note can pack quite a punch!

Anyone doing business with LAUSD shall be charged with full knowledge that LAUSD's contracting decisions are made based on quality, service, and value. LAUSD does not seek any improper influence through gifts or courtesies.

- F. **Observe Cooling Periods** – Contractors and their Representatives shall observe and maintain the integrity of LAUSD's Cooling Periods. A "Cooling Period" is a mechanism used by public agencies and private organizations across the country to ensure that no unfair competitive advantage is extended due to the hiring of current or former employees. Allowing for some time to pass before a former official works on matters related to their prior agency or a new official works on matters related to their prior employer helps to mitigate concerns about the appearance of a "revolving door" where public offices are sometimes seen to be used for personal or private gain.

Contractors shall certify that they are upholding LAUSD's revolving door provisions as part of the contracting process. In their certification, Contractors shall detail the internal firewalls that have been put in place to preserve LAUSD's cooling periods. As with other public agencies, LAUSD observes three key types of cooling periods for safeguarding the critical transitions between public service and private industry:



- (1) **Government to Lobbying Restriction (One-Year Cooling Period)** – LAUSD will not contract with any entity that compensates a former LAUSD official who lobbies LAUSD before a one (1) year period has elapsed from that official's last date of employment

Example of Lobbying Restriction

Ace Impact Group wants to hire Joe Knowsfolks, a former LAUSD official, to help the company cultivate new business opportunities with LAUSD and arrange meetings with key LAUSD officials.

To avoid the possibility of unfair advantage or improper influence, Ace Impact Group is prohibited from utilizing Joe to contact anyone at LAUSD on their behalf until at least one year has passed from Joe's last date of employment. Joe may help Ace lobby other public entities, but Joe cannot communicate with anyone at LAUSD, either in person or in writing, on behalf of his new company.

- (2) **Government to Industry Restriction**

- (a) **Insider Advantage Restriction (One-Year Cooling Period)** – LAUSD will not contract with any entity that compensates any current or former LAUSD official to work on a matter with LAUSD, if that official, within the preceding 12 months, held a LAUSD position in which they personally and substantially participated in that matter.

Example of Insider Advantage Restriction

Risky Business is a small boutique firm that helps public agencies, including LAUSD, develop strategies for managing and overcoming their unfunded liability. Risky Business wants to extend an offer of employment to Nooriya, a LAUSD official, whose previous responsibilities included advising LAUSD's Board and management on the issue of the district's unfunded liability.

As part of its certification, Risky Business needs to identify what safeguards it will have in place to ensure that Nooriya's work for them does not include matters relating to her prior LAUSD responsibilities for at least one year from when she left her LAUSD job. Given that "matters" include broad policy decisions, the general rule of thumb for avoiding any insider advantage is to have former LAUSD officials steer clear of LAUSD work for a year.

- (b) **Contract Benefit Restriction (Two-Year Cooling Period)** – LAUSD will not contract with any entity that employs any current or former LAUSD official who within the preceding two (2) years, substantially participated in the development of the contract's RFP requirements, specifications or any part of the contract's procurement process, if the official will perform any services for the Contractor relating to LAUSD on that contract.

Example of Contracting Benefit Restriction

Technology Advances has just won a big contract with LAUSD and is looking for talent to help support the company's growing work load. The firm wishes to hire some LAUSD employees: Aisha, a LAUSD technology official, her deputy Raj who was the individual who oversaw LAUSD's contracting process with Technology Advances, and Linda, an engineer who was on the evaluation committee that selected Technology Advances.

If Technology Advances hires any of these individuals, none may perform any work for the firm relating to this LAUSD work until two years have elapsed from the date that the contract was fully executed. This case is a good example of how the cooling period seeks to ensure that there is no benefit resulting from a public official's awarding of a contract. All of the LAUSD employees in this example would be considered to have substantially participated in the contract – Raj due to his direct work, Linda due to her role evaluating the bid proposals, and Aisha due to the fact that supervising both employees is a part of her official responsibility. Technology Advances should consider the implications before hiring individuals involved with their LAUSD contracting process.

- (3) **Industry to Government Restriction (One-Year Cooling Period)** – In accordance with California law, Contractors and/or their Representatives who act in the capacity of LAUSD officials shall be disqualified from making any governmental decisions relating to a personal financial interest until a 12-month period has elapsed from the time the interest has been disposed or severed.

Example of Industry to Government Restriction

Sergei Konsultantov is an outside contractor that has been hired to manage a major reorganization project for LAUSD. Sergei is on the Board of Directors for several companies who do business with LAUSD.

Sergei must not participate in any governmental decisions for LAUSD relating to any private organization for whom he has served as an employee, officer, or director, even in an unpaid capacity, if less than 12 months has passed since he held such a status. Sergei should contact the Ethics Office before starting his work to put a formal disqualification into effect and to seek out any other ethical safeguards he should have in place.

- (4) In rare and unusual circumstances, LAUSD's General Superintendent or his/her designee upon a showing of good cause may waive the Insider Advantage Restriction in writing with notification to the Board of Education, prior to approving a contract or its amendment.

- G. **Safeguard Prospective Employment Discussions** – Contractors and their Representatives shall safeguard any prospective employment discussions with current LAUSD officials, especially when the official is one who may participate "personally and substantially" in a matter relating to the Contractor.

Example of Safeguarding an Employment Offer

- (1) **Audit Everything**, a firm that does work for LAUSD, has been really impressed by Thora Revue, an audit manager that oversees some of their audits. Audit Everything is interested in having Thora work for their firm.

Before Audit Everything begins any prospective discussions with Thora, they should let her supervisor know of their interest and ask what safeguards need to be put in place. For example, if Thora does not outright reject the idea and is instead interested in entertaining the offer, she and her manager will have to work with the Ethics Office to put into effect a disqualification from any further involvement relating to the Contractor before any actual employment discussions are allowed to proceed. Any Contractor who engages in employment discussions with LAUSD officials before a disqualification has been completed is subject to the penalties outlined in this Code.

- H. **Conduct Political Activities Privately** – Contractors and their Representatives shall only engage in political support and activities in their own personal and voluntary capacity, on their own time, and with their own resources.
- I. **Make Philanthropy Voluntary** – Contractors and their Representatives shall only engage in philanthropic activities relating to LAUSD on their own time and with their own resources. LAUSD views philanthropic support as a strictly voluntary opportunity for Contractors to demonstrate social responsibility and good citizenship. No expressions of support should be construed to have a bearing on current or future contracts with LAUSD. And no current or potential contracting relationship with LAUSD to provide goods or services is contingent upon any philanthropic support from

Contractors and their Representatives, unless otherwise designated as part of a bid or proposal requirement in an open, competitive contracting process to solicit a specific type of support.

- (1) **Guidelines for Making a Gift to a Public Agency** – Contractors who wish to provide philanthropic support to LAUSD shall abide by the ethical and procedural policies and requirements established by LAUSD which build upon the “Gifts to an Agency” requirements established in California’s Code of Regulations Section 18944.2. For outside entities to make a gift or payment to LAUSD in a manner that maintains public integrity, the following minimum requirements must be met:
 - (a) LAUSD must receive and control the payment;
 - (b) LAUSD must use the payment for official agency business;
 - (c) LAUSD, in its sole discretion, must determine the specific official or officials who shall use the payment. The donor may identify a specific purpose for the agency’s use of the payment, so long as the donor does not designate the specific official or officials who may use the payment; and
 - (d) LAUSD must have the payment memorialized in a written public record which embodies the requirements of the above provisions and which:
 - Identifies the donor and the official, officials, or class of officials receiving or using the payment;
 - Describes the official agency use and the nature and amount of the payment;
 - Is filed with the agency official who maintains the records of the agency’s Statements of Economic Interests (i.e. the Ethics Office); and
 - Is filed as soon as possible, but no later than 30 days of receipt of the payment by LAUSD.

5. Disclosure Obligations

LAUSD expects Contractors and their Representatives to satisfy the following public disclosure obligations:

- A. **Identify Current and Former LAUSD Officials** – To ensure against conflict or improper influence resulting from employment of current or former LAUSD employees, Contractors and their Representatives shall disclose any of their employees, subcontractors or consultants who within the last three years have been or are employees of LAUSD. The disclosure will be in accordance with LAUSD guidelines and will include at a minimum the name of the former LAUSD employee(s), a list of the LAUSD positions the person held in the last three years, and the dates the person held those positions. Public agencies that provide contract services are not subject to this requirement.
 - (1) In rare and unusual circumstances, LAUSD’s General Superintendent or his/her designee upon a showing of good cause may waive this disclosure requirement in writing with notification to the Board of Education, prior to approving a contract or its amendment.
- B. **Be Transparent about Lobbying** – Contractors and their Representatives shall abide by LAUSD’s Lobbying Disclosure Code and register and fulfill the associated requirements, if they meet the trigger(s). LAUSD’s lobbying policy seeks to enhance public trust and confidence in the integrity of LAUSD’s decision-making process by providing transparency via a public record of the lobbying activities conducted by individuals and organizations. A “lobbying activity” is defined as any action taken with the principal purpose of supporting, promoting, influencing, modifying, opposing, delaying or advancing any rule, resolution, policy, program, contract, award, decision, or other proposal under consideration by LAUSD officials.

For further information on LAUSD’s lobbying policy, Contractors and their Representatives shall review the resource materials available on the Ethics Office website (www.lausd.net/ethics). Failure to comply with LAUSD’s Lobbying Disclosure Code can result in fines and sanctions including debarment from contracting with LAUSD.

- C. **Fulfill the State-Mandated Statement of Economic Interests (“Form 700”) Filing Requirement** – Contractors and their Representatives shall abide by the financial disclosure requirements of California’s Political Reform Act (Gov. Code Section 81000-91015). Under the Act, individual Contractors and their Representatives may be required to disclose economic interests that could be foreseeably affected by the exercise of their public duties in a disclosure filing called the Statement of Economic Interests or Form 700. A Form 700 serves as a tool for aiding public officials at all levels of government to ensure that they do not make or participate in making, any governmental decisions in which they have an interest.
 - (1) **Applicability** – Under the law, individual Contractors and their Representatives are considered public officials and need to file a Form 700 as “consultants”, if the services they are contracted to provide fit the triggers identified by the Political Reform Act. Meeting either of the test triggers below requires a Contractor’s Representative(s) to file a Form 700:
 - (a) **Individual Makes Governmental Decisions** – Filing is required if an individual is involved in activities or decision-making such as: obligating LAUSD to any course of action; authorizing LAUSD to enter into, modify, or renew a contract; granting approval for contracts, plans, designs, reports, studies or other items; adopting

or granting approval on policies, standards or guidelines for any subdivision of LAUSD; or negotiating on behalf of LAUSD without significant intervening review.

- (b) Individual Participates in the Making of Governmental Decisions for LAUSD and Serves in Staff-like Capacity – Filing is also required if an individual is performing duties for LAUSD on a continuous or ongoing basis extending beyond one year such as: advising or making recommendations to LAUSD decision makers without significant intervening review; conducting research or an investigation; preparing a report or analysis which requires the individual to exercise their judgment; or performing duties similar to an LAUSD staff position that is already designated as a filer position in LAUSD's Conflict of Interest Code.
- (2) Filing Timelines – Individuals who are legally required to complete a Statement of Economic Interests form must submit a filing:
 - (a) upon commencement of work with LAUSD,
 - (b) on an ongoing basis thereafter in accordance with the April 1st annual deadline, and
 - (c) upon termination of work with LAUSD.
- (3) Process – Contractors and their Representatives shall coordinate with their LAUSD Contract Sponsor(s) to ensure that they meet this state mandate in the manner required by law. Form 700s must be received by the LAUSD Ethics Office to be considered properly filed in accordance with the Political Reform Act.
- (4) Disqualifications – Individuals who must file financial disclosure statements are subject to the requirements of the Political Reform Act as is the case with any other "public official" including disqualification when they encounter decision-making that could affect their financial interests. Contractors and their Representatives shall be responsible for ensuring that they take the appropriate actions necessary, so as not to violate any aspect of the Act.

Examples of Form 700 Filers and Non-Filers

- (5) Maria Ley is an attorney for the firm of Legal Eagles which serves as outside counsel to LAUSD. In her capacity as outside counsel, Maria provides ongoing legal services for LAUSD and as such participates in the making of governmental decisions. Maria's role involves her in advising or making recommendations to government decision-makers and also gives her the opportunity to impact decisions that could foreseeably affect her own financial interests.
Maria would be considered a consultant under the Political Reform Act and would need to file a Form 700.
- (6) The Research Institute has been hired by LAUSD to do a major three-year policy study which will help LAUSD decide the shape and scope of a major after-school tutoring initiative, including the total funding that should be allocated. As part of the Institute's work, their researchers will help LAUSD design and decide on some additional contracts for supplemental survey research. The Institute knows that all the principal researchers on their team will have to be Form 700 filers because their work is ongoing and will influence LAUSD's governmental decision. However, the Institute is unsure of whether their trusty secretary, Bea Addman, would have to be a filer.
Bea does not need to file. Even though she will be housed at LAUSD for the three years and act in a staff-like capacity, she will provide clerical support primarily and will not participate in making any governmental decisions.
- (7) Bob Builder works for a construction company that will be supporting LAUSD's school-building initiative on a continuous basis. Bob will direct activities concerning the planning and construction of various schools facilities, coordinate land acquisition, supervise teams, set policies, and also prepare various budgets for LAUSD.
Bob meets the trigger defined under the law because as part of the services he will provide, he has the authority to affect financial interests and commit LAUSD to government actions at his discretion. Additionally, in his role, he will be performing essentially the same tasks as an LAUSD Facilities Project Manager which is a position that is already designated in LAUSD's Conflict of Interest Code. Therefore, Bob is required to file a Form 700.

6. Prohibited Activities

A Contractor, its Representative(s) and all other agent(s) acting on its behalf are prohibited from engaging in the following activities:

GENERAL PROHIBITIONS

- A. *Acting in a manner that would be reasonably known to create or lead to a perception of improper conduct that could result in direct or indirect damage to LAUSD or our reputation*
- B. *Acting with the purpose or intent of placing an LAUSD official under personal obligation to any Contractor or its Representatives*
- C. *Conducting business with or on behalf of LAUSD in a manner that would be reasonably known to create or lead to a perception of self-dealing*

- D. *Conducting work on behalf of another client on a matter that would be reasonably seen as in conflict with work performed for LAUSD*
- E. *Disclosing any proprietary or confidential information, including employee or student health information, about LAUSD, our employees, students, or contractors to anyone not authorized by a written LAUSD re-disclosure agreement to receive the information*
- F. *Knowingly deceiving or attempting to deceive an LAUSD official about any fact pertaining to any pending or proposed LAUSD decision-making*
- G. *Making or arranging for any gift(s) or gratuities that violate LAUSD's policies, including:*
 - (1) *Providing any gifts at all to a procurement employee;*
 - (2) *Providing any gifts in excess of LAUSD's gift limit in a calendar year to any LAUSD official or to a member of his/her household; and*
 - (3) *Providing gifts without the necessary public disclosure when disclosure is required*
- H. *Offering any favor, gratuity, or kickback to an LAUSD official for awarding, modifying, or providing preferential treatment relating to an LAUSD contract*
- I. *Receiving or dispersing compensation contingent upon the defeat, enactment, or outcome of any proposed policy or action*
- J. *Taking any action to circumvent LAUSD's system of controls or to provide misleading information on any documents or records*
- K. *Using LAUSD assets and resources for purposes which do not support LAUSD's work*
- L. *Using LAUSD provided technology or systems to create, access, store, print, solicit or send any material that is false, derogatory, malicious, intimidating, harassing, threatening, abusive, sexually explicit or otherwise offensive*
- M. *Violating or counseling any person to violate any provisions of LAUSD's Contractor Code of Conduct, Lobbying Disclosure Code, Employee Code of Ethics, and/or any other governing state or federal laws*

CONTRACTING PROHIBITIONS

- N. *Dealing directly with an LAUSD official who is a close relative or cohabitant with a Contractor or its Representatives in the course of negotiating a contracting agreement or performing a Contractor's obligation*
 - (1) *For the purposes of this policy, close relatives shall be defined as including spouse, sibling, parent, grandparent, child, and grandchild. Cohabitants shall be defined as persons living together.*
- O. *Engaging in prohibited communication with LAUSD officials during the Cone of Silence time period(s) of the contracting process*
 - (1) *In a competitive contracting process, the Cone of Silence begins from the time when an Invitation for Bid (IFB), Request for Proposal (RFP), Request for Interest and Bid (RFIB), Request for Quote, Request for Qualification, or any other solicitation release is announced by LAUSD until the time a contract award recommendation is made public by the Board Secretariat's posting of the board report for the contract to be approved.*
 - (2) *In a non-competitive contracting process, the Cone of Silence begins at the time when a proposal is submitted to LAUSD until the time the contract is fully executed.*
- P. *Employing any current or former LAUSD employee to perform any work prohibited by the "Cooling Periods" defined in Section 4F of this Code*
- Q. *Making or participating in the making of governmental decisions on behalf of LAUSD when a Contractor or its Representatives has an existing financial interest that is prohibited under the law*
- R. *Making any substitution of goods, services, or talent that does not meet contract specifications without prior approval from LAUSD*
- S. *Making false charges on claims for payment submitted to LAUSD in violation of the California False Claims Act, Cal. Government Code §§ 12650-12655*
- T. *Requesting, attempting to request, or accepting—either directly or indirectly—any protected information regarding present or future contracts before the information is made publicly available at the same time and in the same form to all other potential bidders*
- U. *Submitting a bid as a proposer or sub-proposer on a particular procurement after participating in its development (e.g. identifying the scope of work, creating solicitation documents or technical specifications, developing evaluation criteria, and preparing contractual instruments)*

LOBBYING PROHIBITIONS

- V. *Engaging in any lobbying activities without the appropriate disclosure, if the registration trigger has been met*
- W. *Lobbying on behalf of LAUSD, if a Contractor or its Representatives is lobbying LAUSD officials.*
- (1) Any person or entity who receives compensation to lobby on behalf of or otherwise represent LAUSD, pursuant to a contract or sub-contract, shall be prohibited from also lobbying LAUSD on behalf of any other person or entity for compensation as this would be considered a conflict of interest.

7. Issues Resolution

Early identification and resolution of contracting or other ethical issues that may arise are critical to building public trust. Whenever possible, it is advisable to initiate the issue resolution process proactively, either with the designated contracting contact if the issue arises during the contracting process, or with the Contract Sponsor in the case of an active contract that is being carried out. It is always appropriate to seek out the Procurement Services Group or the Facilities Contracts Branch to resolve an issue, if another alternative is not possible. Formal disputes regarding bid solicitations or contract awards should be raised and addressed in accordance with LAUSD policy where such matters will be given full, impartial, and timely consideration.

8. Enforcement Provisions

While Contractors and their Representatives are expected to self-monitor their compliance with this Contractor Code of Conduct, the provisions of this Code are enforceable by LAUSD. Enforcement measures can be taken by LAUSD's Procurement Services Group or Facilities Contracts Branch in consultation with the Contract Sponsor, the Ethics Office, the Office of the General Counsel, and the Office of the Inspector General. The Office of the Inspector General may also refer matters to the appropriate authorities for further action.

- A. *Report Violations* – Good faith reporting of suspected violations of the Contractor Code of Conduct is encouraged. Reports of possible violations should be made to the Office of the Inspector General where such reports will be investigated and handled with the level of confidentiality that is merited and permitted by law. No adverse consequences will result to anyone as a result of making a good faith report.
- B. *Cooperate on Audits and Investigations* – Contractors and their Representatives shall cooperate with any necessary audits or investigations by LAUSD relating to conduct identified in this Code. Such audits and investigations may be conducted when LAUSD has reason to believe that a violation of this Code has occurred. Once an audit or investigation is complete, LAUSD may contact a Contractor or their Representatives to establish remedies and/or sanctions.
- C. *Comply with Sanctions* – Contractors and their Representatives shall comply with the necessary sanctions for violations of this Code of Conduct. Remedies can include and/or combine one or more of the following actions:
- (1) Removal of offending Contractor or subcontractor;
 - (2) Implementation of corrective action plan approved by LAUSD;
 - (3) Submission of training plan for preventing future violations of the Code;
 - (4) Probation for 1-3 years;
 - (5) Rescission, voidance or termination of a contract;
 - (6) Suspension from all LAUSD contracting for a period of time;
 - (7) Prohibition from all LAUSD lobbying activities;
 - (8) Compliance with deferred debarment agreement;
 - (9) Debarment from all LAUSD procurement or contracting; or
 - (10) Other sanctions available by law that are deemed reasonable and appropriate.

In the case of a procurement in which a contract has yet to be awarded, LAUSD reserves the right to reject any bid or proposal, to terminate the procurement process or to take other appropriate actions.

Failure to remedy the situation in the timely manner prescribed by LAUSD can result in additional sanctions. *Records of violations or any other non-compliance are a matter of public record.*

Any debarment proceeding will follow due process in accordance with the procedures described in LAUSD's Debarment Policy.

9. Future Code Updates

To ensure that LAUSD maintain our effectiveness in promoting integrity in our contracting processes and our use of public tax dollars, LAUSD reserves the right to amend and modify this Contractor Code of Conduct at its discretion. LAUSD's Ethics Office will post the latest version of the Code on its website. Interested parties with ideas on how LAUSD can strengthen our Code to improve public trust in the integrity of LAUSD's decision-making can contact LAUSD's Ethics Office in writing to share their comments. Such comments will be evaluated for future code updates.

LAUSD is not responsible for notifying a Contractor or their Representatives of any changes to this Code. It is the responsibility of a Contractor to keep itself and its Representatives apprised of any changes made to this Code. LAUSD is not responsible for any damages that may occur as a result of a Contractor's failure to fulfill its responsibilities of staying current on this Code.

10. Severability

If one part or provision of this Contractor Code of Conduct, or its application to any person or organization, is found to be invalid by any court, the remainder of this Code and its application to other persons or organizations, which has not been found invalid, shall not be affected by such invalidity, and to that extent the provisions of this Code are declared to be severable.

Exhibit E, form of Order for Services

CONTRACT NO. 400008600 ORDER FOR SERVICES

Order for Services No. ____ by _____ (name of school or office) is effective as of _____ (Month, Day, Year), between the Los Angeles Unified School District (LAUSD) and City Year, Inc., Contract No. _4400008600

1. Scope of Services:

City Year, Inc. will provide a Dropout prevention program consistent with the Exhibit A.

2. Period of Performance:

MM/DD/YY - M/DD/YY

3. Deliverables:

Drop-out Prevention Services

4. Staffing and Rates:

(attach additional pages if necessary)